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FORM PTO-1390 (REV. 12-2001)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 61905/2	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/031449	
INTERNATIONAL APPLICATION NO. PCT/CA00/00850		INTERNATIONAL FILING DATE 07/21/2000		PRIORITY DATE CLAIMED 07/21/1999	
TITLE OF INVENTION ASYMMETRIC LIGANDS HAVING USE AS CATALYSTS					
APPLICANT(S) FOR DO/EO/US YUDIN, Andrei; MARTYN, Leo James Patrick; PANDIARAJU, Subramanian.					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p><b>Items 11 to 20 below concern document(s) or information included:</b></p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Return Receipt Postcard</p>					

U.S. APPLICATION NO (if known, see 37 CFR 1.5) <b>10/031449</b>		INTERNATIONAL APPLICATION NO <b>PCT/CA00/00850</b>		ATTORNEY'S DOCKET NUMBER <b>61905/2</b>	
21. <input type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1040.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$890.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$740.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$710.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b>  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS PTO USE ONLY</b>	
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	<b>39 - 20 =</b>	<b>19</b>	<b>x \$18.00</b>	<b>\$ 342</b>	
Independent claims	<b>7 - 3 =</b>	<b>4</b>	<b>x \$84.00</b>	<b>\$ 336</b>	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				<b>+ \$280.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 1848</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					
<b>SUBTOTAL =</b>					
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 924</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +					
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 894</b>	
				<b>Amount to be refunded:</b>	<b>\$</b>
				<b>charged:</b>	<b>\$ 30</b>
a. <input checked="" type="checkbox"/> A check in the amount of \$ <b>894</b> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>02-2553</b> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card                  information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR                  1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</b>					
SEND ALL CORRESPONDENCE TO:					
 SIGNATURE					
<b>Brian W. Gray</b> NAME					
<b>30,017</b> REGISTRATION NUMBER					

JC13 Rec'd PCT/PTO 22 JAN 2002

In re Application of	YUDIN, A.; MARTYN, L.J.P. and PANDIARAJU, S.
Serial No.:	PCT/CA00/00850
Int. Filing Date:	July 21, 2000
Title:	Asymmetric Ligands Having use as Catalysts
Art Unit:	
Examiner:	
Atty's Docket No.:	61905/2

Dear Sir:

## IN THE SPECIFICATION

--This application is submitted under 35 U.S.C. 371 from PCT/CA 00/00850 filed July 21, 2000 designating the United States, and claims priority from United States Provisional Patent Application Nos. 60/144, 812 and 60/201,730, filed July 21, 1999 and May 4, 2000, respectively, the specifications of which are hereby incorporated by reference in their entirety. --

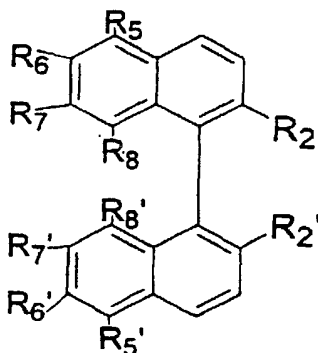
60. An asymmetric ligand comprising an aromatic ring system that is polyfluorinated.

61. The ligand as claimed in claim 60 wherein the aromatic ring system is in the form of a biphenyl, binaphthyl, bipyridyl ring system, or a derivative thereof.
62. The ligand as claimed in claim 61 wherein the aromatic ring system comprises a binaphthyl derivative.
63. The ligand as claimed in claim 62 wherein the aromatic ring system comprises a 2, 2' di substituted binaphthyl ring system.
64. The ligand as claimed in claim 63 wherein the substituents at the 2 and 2' positions are the same or different, and are each OR where R may be:
  - a) hydrogen; or
  - b) C<sub>1</sub>-C<sub>20</sub> aromatic, aliphatic, linear or branched, saturated or unsaturated, unsubstituted or substituted with:
    - i) N, O, S, or P;
    - ii) P R'R'' where R' and R'' are the same or different and are hydrogen, or C<sub>1</sub>-C<sub>20</sub> that may be aromatic, aliphatic, linear or branched, saturated or unsaturated, unsubstituted or substituted with N, O, S, or P;
    - iii) phosphine oxide;
    - iv) NR''' R''' where R''' and R''' are the same or different and are hydrogen, or C<sub>1</sub>-C<sub>20</sub> that may be aromatic, aliphatic, linear or branched, saturated or unsaturated, unsubstituted or substituted with N, O, S, or P;
    - v) SR'''' R'''' where R'''' and R'''' are the same or different and are hydrogen, or C<sub>1</sub>-C<sub>20</sub> that may be aromatic, aliphatic, linear or branched,

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saturated or unsaturated, unsubstituted or substituted with N, O, S, or P.

65. The ligand as claimed in claim 64 wherein R is hydrogen, or C<sub>1</sub>-C<sub>6</sub> alkyl which is linear or branched.
66. The ligand as claimed in claim 63 wherein the 5, 6, 7, and 8 or the 5', 6', 7' and 8' positions of the binaphthyl ring system are fluorinated.
67. The ligand as claimed in claim 63 wherein the binaphthyl ring system is fluorinated at the 5, 5', 6, 6', 7, 7', 8 and 8' positions.
68. The ligand as claimed in claim 66 which is selected from the group of ligands comprising 5, 5', 6, 6', 7, 7', 8, 8'-octafluoro-2,2'-dihydroxy-1,1'- binaphthyl, 5, 5', 6, 6', 7, 7', 8, 8'-octafluoro- 2,2'- dimethoxy-1,1'- binaphthyl, 5, 5', 6, 6', 7, 7', 8, 8'-octafluoro-2,2'-di-n-propoxy-1,1'-binaphthyl and 5, 5', 6, 6', 7, 7', 8, 8'-octafluoro-2,2'-di-i-propoxy-1,1' -binaphthyl.
69. An asymmetric compound of the formula III:



wherein R<sub>2</sub> and R<sub>2</sub>' are the same or different and are OR where R is:

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- a) Hydrogen;
- b)  $C_1-C_{20}$  alkyl aromatic, aliphatic, linear or branched, saturated or unsaturated, unsubstituted or substituted with:
  - i) N, O, S, or P;
  - ii)  $PR'R''$  where  $R'$  and  $R''$  are the same or different and are hydrogen, or  $C_1-C_{20}$  that may be aromatic, aliphatic, linear or branched, saturated or unsaturated, unsubstituted or substituted with N, O, S, or P;
  - iii) phosphine oxide;
  - iv)  $NR'''R''''$  where  $R'''$  and  $R''''$  are the same or different and are hydrogen, or  $C_1-C_{20}$  that may be aromatic, aliphatic, linear or branched, saturated or unsaturated, unsubstituted or substituted with N, O, S, or P;
  - v)  $SR''''R'''''$  where  $R''''$  and  $R'''''$  are the same or different and are hydrogen, or  $C_1-C_{20}$  that may be aromatic, aliphatic, linear or branched, saturated or unsaturated, unsubstituted, or substituted with N, O, S, or P;

and  $R_5$ ,  $R_5'$ ,  $R_6$ ,  $R_6'$ ,  $R_7$ ,  $R_7'$ ,  $R_8$  and  $R_8'$  are independently hydrogen, fluorine, CN, or  $NO_2$ , OR (where R is as defined above),  $SO_2Ar$  where Ar is any aromatic ring system, SPh, Cl, Br, I,  $N_3$ ,  $NR_3+$  where each R is the same or different and may be as defined above, OAr where Ar is as defined above, SR where R is as defined above,  $NH_2$ , a nucleophile X, wherein X may be  $OR_9$ ,  $NR_{10}R_{11}$ ,  $SR_{12}$ ,  $SiR_{13}R_{14}R_{15}$ ,  $SeR_{16}$  and wherein each of  $R_9, R_{10}, R_{11}, R_{12}, R_{13}, R_{14}$ ,  $R_{15}$  and  $R_{16}$  is the same or different and may be hydrogen,  $C_1-C_{20}$  that may be aromatic, aliphatic, linear or branched, saturated or unsaturated, unsubstituted or substituted with N, O, S, or P;

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with the proviso that more than two of R5, R5', R6, R6', R7, R7', R8 and R8' is fluorine.

70. The compound as claimed in claim 69 wherein R5, R6, R7 and R8 are the same and are H or F, and R5', R6', R7' and R8' are the same and are different than R5, R6, R7 and R8.
71. The compound as claimed in claim 69 wherein R2 and R2' are the same or different and are hydrogen or C<sub>1</sub>-C<sub>6</sub> aliphatic, linear or branched, and R5, R5', R6, R6', R7, R7', R8 and R8' are each fluorine.
72. The compound as claimed in claim 69 wherein R2 and R2' are the same or different and are hydrogen or C<sub>1</sub>-C<sub>6</sub> aliphatic, linear or branched, and R5, R5', R6, R6', R8 and R8' are each fluorine, and R7 and R7' are the same or different and are a nucleophile X as claimed in claim 69.
73. The compound as claimed in claim 69 wherein R2 and R2' are the same or different and are hydrogen or C<sub>1</sub>-C<sub>6</sub> aliphatic, linear or branched, and R5, R5', R8 and R8' are each fluorine, and R6, R6', R7, R7' are the same or different and are a nucleophile X as claimed in claim 69.
74. The compound as claimed in claim 72 wherein the nucleophile X is hydroxy or C<sub>1</sub>-C<sub>6</sub> alkoxy.
75. A modified asymmetric polyfluorinated binaphthyl based ligand wherein the fluorine atom in at least one of positions 5 and 5', 6 and 6', 7 and 7', and 8 and 8' is selectively displaced with a nucleophile.

76. The modified polyfluorinated binaphthyl based ligand as claimed in claim 75 wherein the fluorine atoms at positions 7 and 7' are selectively displaced with a nucleophile.
77. The modified polyfluorinated binaphthyl based ligand as claimed in claim 75 wherein the fluorine atoms at positions 6, 6', 7 and 7' are selectively displaced with a nucleophile.
78. The use of a ligand as claimed in claim 60 for an application selected from the group consisting of asymmetric catalysis with main group elements, transition metal and lanthanide metals, asymmetric reagent with main group elements, transition metal and lanthanide metals, polymer supported catalysis, nucleophilic displacement of fluorine atoms to modify characteristics of molecule, incorporation of molecule into crown ethers for development of phase transfer catalysts, use of compound as a monomer for polymerization, asymmetric polymer supported electrochemical oxidation catalysis, as a chiral auxiliary in a n asymmetric reaction, as a resolving agent for chiral compounds, including but not limited to amines, asymmetric catalysis (reagent) in fluorous phase reactions, as a chiral stationary phase for HPLC and other chromatographic techniques, and phase transfer catalyst between organic, fluorous phase and alkali solutions.
79. An asymmetric ligand comprising an aromatic ring system that is polyfluorinated, that is modified by selectively nucleophilically substituting at least one fluorine atom with a nucleophile.



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80. A ligand as claimed in claim 79 wherein the aromatic ring system comprises a biphenyl, binaphthyl, bipyridyl ring system or a derivative thereof.
81. A ligand as claimed in claim 80 wherein the aromatic ring system comprises a binaphthyl ring system or a derivative thereof.
82. A ligand as claimed in claim 79 comprising a nucleophile X, wherein X has the meaning defined in claim 69.
83. A ligand as claimed in claim 82 comprising a nucleophile wherein the nucleophile is hydroxy or C<sub>1</sub>-C<sub>6</sub> alkoxy.
84. A ligand as claimed in claim 81 wherein a nucleophile is selectively substituted in at least one of positions 7,7' and 6,6'.
85. A ligand as claimed in claim 84 wherein the nucleophile is substituted in both the 7,7' and 6,6' positions and the nucleophile that is substituted in the 7,7' positions is the same or different than the nucleophile substituted in the 6,6' positions.
86. A ligand as claimed in claim 84 wherein the binaphthyl ring system is a 2, 2' di substituted binaphthyl ring system, and wherein the substituents at the 2 and 2' positions are the same or different and are each OR where R is as defined in claim 64.
87. A ligand as claimed in claim 86 comprising a nucleophile wherein the nucleophile is hydroxy or C<sub>1</sub>-C<sub>6</sub> branched or straight chain alkoxy.


88. A ligand as claimed in claim 86 wherein the nucleophile is substituted in both the 7, 7' and 6, 6' positions and the nucleophile that is substituted in the 7, 7' positions is the same or different than the nucleophile substituted in the 6,6' positions.
89. A method of generating a library of a predetermined number of asymmetric ligands comprising:
  - a) providing an asymmetric polyfluorinated aromatic ring system;
  - b) selective substituting at least one fluorine atom with a nucleophile; and
  - c) repeating steps a) and b) a predetermined number of times to obtain a predetermined number of ligands.
90. The method as claimed in claim 89 wherein the aromatic ring system is selected from biphenyl, binaphthyl, bipyridine and derivatives thereof.
91. The method as claimed in claim 89 wherein the same aromatic ring system is provided in each step a) and a different nucleophile is selectively substituted for at least one fluorine atom in each step b).
92. The method as claimed in claim 90 wherein the aromatic ring system is a binaphthyl derivative.
93. The method as claimed in claim 89 wherein the nucleophiles selectively substituted in steps b) are selected from the group of nucleophiles X, wherein X is as defined in claim 69.

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94. The method as claimed in claim 93 wherein the nucleophiles selectively substituted in steps b) are selected from hydroxy, and C<sub>1</sub>-C<sub>6</sub> alkoxy.
95. The method as claimed in claim 91 wherein in each step b) the nucleophile is selectively substituted in the same position on the aromatic ring system.
96. The method as claimed in claim 91 wherein in each step b) the nucleophile is optionally selectively substituted in different positions.
97. The use of a library of ligands made by a method as claimed in claim 89 to screen the pharmacological activity of each ligand within the library.
98. A compound as claimed in claim 69 wherein R5, R6, R7 and R8 are the same and are H or F, and R5', R6', R7' and R8' are the same and are H or F, and R5', R6', R7' and R8' are different than R5, R6, R7 and R8.
99. The compound as claimed in claim 73 wherein the nucleophile X is hydroxy or C<sub>1</sub>-C<sub>6</sub> alkoxy.

#### IN THE DRAWINGS

Kindly replace the original Figure 8 with the amended Figure 8 submitted herewith.



## REMARKS

### 1) Remarks concerning Amendments to the claims

Claims 60-99 are pending the application. The claims have been amended in view of proceedings at the international level. Independent claims 60, 69, 75, 79 and 89 read as claims 1, 13, 19, 25, and 41 respectively, of the claims as they stood at the completion of international proceedings.

## 2) Remarks concerning Amendments to the Figures

In the original Figure 8 as filed, substitution is shown at the 5, 5', 7 and 7' positions, as opposed to what was obviously intended from the application, where substitution is at the 6, 6', 7 and 7' positions. Support for this amendment can be found on page 6, lines 22-23, which states:

“Figure 8 is a schematic showing the chemistry of the nucleophilic modification at the 6 and 6' positions.”

Further support can be found on page 11, line 28 to page 12, line 8.

It is obvious from the description as filed that the applicant intended to show substitution at the 6, 6', 7 and 7' positions. By the present amendment, the Applicant seeks to correct this obvious error in Figure 8.

In accordance with 37 C.F.R. 1.121(d), two versions of Figure 8 are enclosed, one having the proposed changes are shown in red.

No new matter has been added by the present amendments to the claims or drawings.

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Should any Patent Office Official want to telephone, the call should be made to Brian Gray (Registration No. 30017) at (416) 863-3256.

Yours very truly,



Brian Gray  
Registration No. 30017

Friday 18 January, 2002  
Date

**BLAKE, CASSELS & GRAYDON LLP**  
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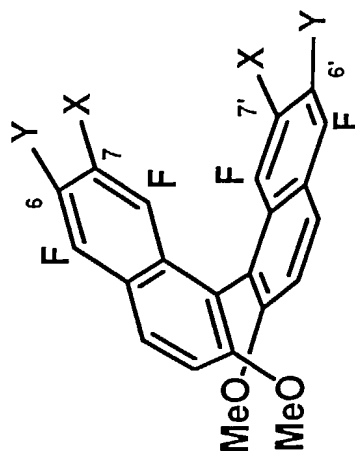
**VERSION WITH MARKINGS TO SHOW CHANGES MADE**

The paragraph beginning at line 4, on page 1 has been amended as follows:

--This application is submitted under 35 U.S.C. 371 from PCT/CA 00/00850 filed July 21, 2000 designating the United States, and claims priority from United States Provisional Patent Application Nos. 60/144, 812 and 60/201,730, filed July 21, 1999 and May 4, 2000, respectively, the specifications of which are hereby incorporated by reference in their entirety. --

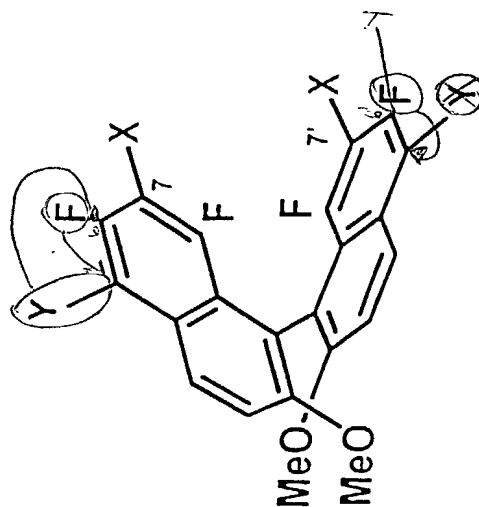
8/10

Figure 8



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Figure 8





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1 **Title: Asymmetric Ligands Having Use As Catalysts**

2  
3 **RELATED APPLICATION DATA**

4 This application claims priority from United States Provisional  
5 Patent Application Nos. 60/144,812 and 60/201,730, filed July 21, 1999 and  
6 May 4, 2000, respectively, the specifications of which are hereby  
7 incorporated by reference in their entirety.

8  
9 **FIELD OF THE INVENTION**

10 The present invention relates to electronically perturbed asymmetric  
11 aromatic ligands. In one aspect it relates to polyfluorinated aromatic  
12 ligand catalysts that may be nucleophilically modified. The ligands may be  
13 used in catalytic processes.

14  
15 **BACKGROUND OF THE INVENTION**

16 Modern asymmetric synthesis often calls for catalytic  
17 transformations. Understanding the balance of steric and electronic  
18 factors is required in order to fine-tune a catalyst to achieve optimal rate  
19 and selectivity in a particular reaction. The analysis of steric  
20 environments around metal centers has traditionally dominated  
21 attempts to explain and predict the outcome of metal-based  
22 enantioselective processes. In comparison, the importance of electronic  
23 effects in asymmetric induction was appreciated only in recent years.  
24 Several known catalytic systems employ electronically diverse  
25 substituents on ligands in order to modulate reactivity of the metal  
26 center.

27 For example, in the catalytic asymmetric epoxidation of  
28 unfunctionalized olefins, electronic properties of substituents on chiral  
29 *salen* ligands determine the nature of transition state (M. Palucki et al *J.*  
30 *Am. Chem. Soc.* 1998, 120, 948). The later transition state leads to higher  
31 enantioselectivities and electronic attenuation of electrophilic Mn=O

- 2 -

1 centers affords higher levels of enantiomeric excess. Enhancement of  
2 enantioselectivity through incorporation of fluorine atoms on chiral  
3 phosphine ligands in the asymmetric hydrocyanation of olefins was  
4 documented (T.V. Rajanbabu, A.L. Casalnuovo *J. Am. Chem. Soc.* 1996,  
5 118, 6325). The concept of induced electronic asymmetry allows one to  
6 increase the enantioselectivity of rhodium-catalyzed hydroboration of  
7 olefins (A. Schnyder et al. *Angew Chem. Int. Ed. Engl.* 1995, 34, 931).

8 Much research has been devoted to the development of chiral  
9 ligands. Among these, the 2,2'-dihydroxy-1,1'-binaphthyl ("BINOL") and  
10 related molecules with axial chirality have found wide utility in  
11 asymmetric catalysis. Over the years, several modifications to the BINOL  
12 skeleton aimed at modifying its steric and electronic properties have been  
13 reported. For example, partially hydrogenated BINOL was used as a  
14 catalyst precursor in enantioselective alkylation of aldehydes (A.S.C.  
15 Chan et al. *J. Am. Chem. Soc.* 1997, 119, 4080), conjugate addition of  
16 diethylzinc to cyclic enones (F. Y. Zhang, A.S.C. Chan *Tetrahedron:  
17 Asymmetry* 1998, 9, 1179), and ring opening of epoxides (T. Iida et al.  
18 *Angew. Chem. Int. Ed. Engl.* 1998, 37, 2223). Incorporation of bromines  
19 into the 6 and 6' positions of BINOL, rather remote from the catalytic site,  
20 was shown to increase the enantioselectivity of the corresponding  
21 titanium catalysts in glyoxalate-ene reactions (M. Terada et al.  
22 *Tetrahedron Lett.* 1994, 35, 1994). Bulky triarylsilyl groups at the 3 and 3'  
23 positions of BINOL led to increased levels of enantiofacial discrimination  
24 of prochiral aldehydes in asymmetric Diels-Alder reactions (Pu; *L. Chem.  
25 Rev.* 1998, 98, 2405). 3,3'-dinitrooctahydrobinaphthol was applied in  
26 titanium-catalyzed asymmetric oxidation of methyl-p-tolylsulfide (Reetz,  
27 M. T. et al. *Tetrahedron Lett.* 1997, 38, 5273).

## 28 29 SUMMARY OF THE INVENTION

30 The present invention relates to new asymmetric aromatic ligands  
31 that may be used as catalysts. The ligand may be any aromatic ring system

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1 containing one or more electronegative substituents. Preferably, the  
2 electronegative substituents are fluorine and the aromatic ring system is  
3 axially chiral, such as a biphenyl, binaphthyl or bipyridine derivative. In  
4 one preferred embodiment, the aromatic ring system is a binaphthyl  
5 derivative.

6 Fluorine substitution of aromatic groups modifies their properties  
7 including configurational stability and catalytic activity. One issue is the  
8 nature of steric and electronic effects of fluorination on aromatic based  
9 catalysts. The basic premise is that alteration of stabilizing stacking and  
10 edge-face interactions significantly affects approach of certain substrates to  
11 catalytic reaction centers. Due to fluorine's high electronegativity, electron  
12 density in fluoronaphthyl rings is located at the periphery, rather than  
13 in the ring's centre. The present invention will be illustrated by examples  
14 such as preparation of enantiomerically pure fluorobinaphthyl ligands  
15 and their application in catalytic asymmetric processes.

16 In one aspect of the present invention, there is provided an  
17 asymmetric ligand comprising an aromatic ring system substituted with  
18 at least one electronegative radical.

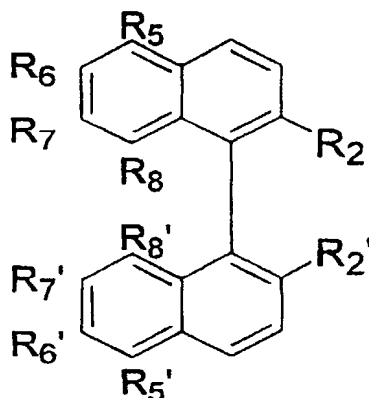
19 In another aspect, there is provided a method of producing a  
20 fluorinated asymmetric ligand having an aromatic ring system  
21 comprising fluorinating the aromatic ring system.

22 In yet another aspect, the present invention relates to asymmetric  
23 ligands comprising an aromatic ring system substituted with at least one  
24 electronegative substituent that is modified through nucleophilic  
25 substitution. Preferably, the electronegative substituent is fluorine, and  
26 the modification consists of displacing fluorine atoms on a  
27 polyfluorinated aromatic ring system with a nucleophile. As one  
28 example, the fluorine atoms at the 7 and 7' positions of 5,5',6,6',7,7',8,8'-  
29 octafluoro-2,2'-dihydroxy-1,1'-binaphthyl (F<sub>8</sub>BINOL) are selectively  
30 displaced with a nucleophile.

- 4 -

Accordingly, the present invention also provides a compound having the Formula III:

Formula III



wherein R<sub>2</sub> and R<sub>2</sub>' are the same or different and are OR where R may be hydrogen, or C<sub>1</sub>-C<sub>20</sub> aromatic, aliphatic, linear or branched, saturated or unsaturated, unsubstituted or substituted with N, O, S, or P; PR'R'' where R' and R'' are the same or different and are hydrogen, or C<sub>1</sub>-C<sub>20</sub> aromatic, aliphatic, linear or branched, saturated or unsaturated, unsubstituted or substituted with N, O, S, or P; phosphine oxide; NR'''R'''' where R''' and R'''' are the same or different and are hydrogen, or C<sub>1</sub>-C<sub>20</sub> aromatic, aliphatic, linear or branched, saturated or unsaturated, unsubstituted or substituted with N, O, S, or P; SR'''''R'''''' where R''''' and R'''''' are the same or different and are hydrogen, or C<sub>1</sub>-C<sub>20</sub> aromatic, aliphatic, linear or branched, saturated or unsaturated, unsubstituted or substituted with N, O, S, or P; and R<sub>5</sub>, R<sub>5</sub>', R<sub>6</sub>, R<sub>6</sub>', R<sub>7</sub>, R<sub>7</sub>', R<sub>8</sub> and R<sub>8</sub>' are independently hydrogen, fluorine, CN, NO<sub>2</sub>, OR (where R is as defined above), SO<sub>2</sub>Ar where Ar is any aromatic ring system, SPh, Cl, Br, I, N<sub>3</sub>, NR<sub>3</sub><sup>+</sup> where each R is the same or different and may be as defined above, OAr where Ar is as defined above, SR where R is as defined above, NH<sub>2</sub>, a

- 5 -

1 nucleophile X, wherein X may be OR<sub>9</sub>, NR<sub>10</sub>R<sub>11</sub>, SR<sub>12</sub>, SiR<sub>13</sub>R<sub>14</sub>R<sub>15</sub>,  
2 SeR<sub>16</sub> and wherein each of R<sub>9</sub>, R<sub>10</sub>, R<sub>11</sub>, R<sub>12</sub>, R<sub>13</sub>, R<sub>14</sub>, R<sub>15</sub> and R<sub>16</sub> may  
3 be the same or different and may be hydrogen, C<sub>1</sub>-C<sub>20</sub> aromatic, aliphatic,  
4 linear or branched, saturated or unsaturated, unsubstituted or substituted  
5 with N, O, S, or P; with the proviso that at least one of R<sub>5</sub> and R<sub>5'</sub>, R<sub>6</sub> and  
6 R<sub>6'</sub>, R<sub>7</sub> and R<sub>7'</sub>, and R<sub>8</sub> and R<sub>8'</sub> is electronegative.

7 In one preferred embodiment, R<sub>5</sub>, R<sub>6</sub>, R<sub>7</sub> and R<sub>8</sub> are the same and  
8 are H or F, and R<sub>5'</sub>, R<sub>6'</sub>, R<sub>7'</sub> and R<sub>8'</sub> are the same and are H or F, with the  
9 proviso that R<sub>5</sub>, R<sub>6</sub>, R<sub>7</sub> and R<sub>8</sub> are not the same as R<sub>5'</sub>, R<sub>6'</sub>, R<sub>7'</sub> and R<sub>8'</sub>.

10 In another embodiment, R<sub>5</sub>, R<sub>5'</sub>, R<sub>6</sub>, R<sub>6'</sub>, R<sub>7</sub>, R<sub>7'</sub>, R<sub>8</sub> and R<sub>8'</sub> are all  
11 the same and are F.

12 More preferably, each of R, R', R'', R''', R''', R''', and R'''' are H, or  
13 C<sub>1</sub>-C<sub>6</sub> aromatic, aliphatic, linear or branched, saturated or unsaturated,  
14 unsubstituted or substituted with N, O, S or P; R<sub>7</sub> and R<sub>7'</sub> are the same  
15 and are a nucleophile X, and R<sub>5</sub>, R<sub>5'</sub>, R<sub>6</sub>, R<sub>6'</sub>, R<sub>8</sub> and R<sub>8'</sub> are the same and  
16 are F.

17 In still another aspect of the present invention, there is provided a  
18 method of generating a library of a predetermined number of asymmetric  
19 ligands comprising:

- 20 a) Providing an aromatic ring system having at least one  
21 electronegative substituent;  
22 b) Selective substituting at least one electronegative substituent with  
23 a nucleophile; and  
24 c) Repeating steps a) and b) a predetermined number of times to  
25 obtain a predetermined number of ligands.

26  
27 Other features and advantages of the present invention will become  
28 apparent from the following detailed description. It should be  
29 understood, however, that the detailed description and the specific  
30 examples while indicating preferred embodiments of the invention are  
31 given by way of illustration only, since various changes and

- 6 -

1 modifications within the spirit and scope of the invention will become  
2 apparent to those skilled in the art from this detailed description.

#### 3 4 **BRIEF DESCRIPTION OF THE DRAWINGS**

5 The present invention will be better understood when the following  
6 description is read in connection with the accompanying drawings, in  
7 which:

8 Figure 1 shows the preparation of a modified polyfluorinated  
9 catalyst;

10 Figure 2 shows the configurational integrity of the  
11 polyfluorobinaphthyl core during nucleophilic modification;

12 Figure 3 is a schematic diagram showing the chemistry at the 7  
13 and 7' positions of the modified catalyst;

14 Figure 4 shows the attachment of a modified catalyst to an  
15 electrode surface;

16 Figure 5 shows experimentally observed cyclic voltammogram for  
17 the modified electrode surface;

18 Figure 6 shows the attachment of a modified catalyst to a solid  
19 surface;

20 Figure 7 shows the nucleophilic substitution at the 6, 6' positions  
21 of the modified catalyst;

22 Figure 8 is a schematic showing the chemistry of the nucleophilic  
23 modification at the 6 and 6' positions;

24 Figure 9 illustrates internal nucleophilic displacement in  
25 monoprotected F8BINOL; and

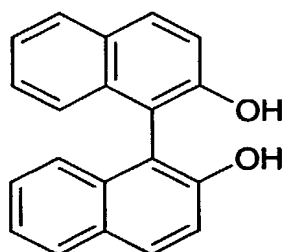
26 Figure 10 illustrates a synthesis scheme for preparing  $H_4F_4$  ligands.

#### 27 28 **DESCRIPTION OF THE PREFERRED EMBODIMENT**

29 As previously mentioned, the present invention relates to aromatic  
30 asymmetric ligands containing at least one electronegative substituent.  
31 Optionally, the ligands may be modified with a nucleophile.

- 7 -

1       The present invention will be exemplified, by way of example by  
2       disclosing the design a new family of polyfluoroaryl ligands that originate  
3       from 2,2'-dihydroxy-1,1'-binaphthyl ("BINOL"), a catalyst precursor of  
4       broad utility in asymmetric catalysis (R. Noyori *Asymmetric Catalysis in*  
5       *Organic Synthesis*, Wiley: New York, 1994). The structure of BINOL is  
6       shown in Formula I:

7  
8       Formula I

9  
10  
11       While the present invention will be described herein in relation to  
12       BINOL derivatives, it will be readily appreciated by those skilled in the art  
13       that other compounds having similar structures and properties may be  
14       substituted for BINOL. In particular, any aromatic ring structure is  
15       suitable for use in connection with the invention. For example, benzene,  
16       pyridine, naphthalene, anthracene and their derivatives are suitable for  
17       use with the invention (e.g. polyfluorinated benzene and polyfluorinated  
18       naphthalene). More preferably, the aromatic ring is one that exhibits axial  
19       chirality due to steric hinderance, i.e. the rings are not free to rotate about  
20       an axis because of steric hinderance. Such ring systems are known to  
21       those skilled in the art, and include biphenyl, binaphthyl, bipyridine and  
22       their derivatives.

23       More preferably, the aromatic ring structure is binaphthyl or a  
24       derivative thereof. Most preferably, the aromatic ring structure is a 2, 2'  
25       di-substituted binaphthyl derivative, where the substituent is hydroxy, C<sub>1</sub>-  
26       C<sub>6</sub> alkoxy, phenoxy, phosphino, phosphine oxide, primary or secondary

- 8 -

1 C<sub>1</sub>-C<sub>6</sub> amine, or primary or secondary sulfides. Some specific examples of  
2 such ring structures include the 2, 2' dihydroxy, 2, 2' dimethoxy, 2, 2'  
3 diphosphine, 2, 2' diphosphine oxide, and 2, 2' diamino derivatives of  
4 binaphthyl. Further, while it may be desirable, it is not necessary that the  
5 substituents at the 2 and 2' positions be the same. For example, the  
6 aromatic ring may be a 2-hydroxy, 2'-amino derivative or the like.

7 Furthermore, while the present invention is described generally in  
8 relation to being an aromatic ring substituted with fluorine, it will be  
9 appreciated that any relatively small electronegative radical may be  
10 utilized. Electronegative radicals are well known to those skilled in the  
11 art and include radicals such as CN and NO<sub>2</sub>, OR where R is as defined  
12 above, SO<sub>2</sub>Ar where Ar is any aromatic ring system, SPh, Cl, Br, I, N<sub>3</sub>,  
13 NR<sub>3</sub><sup>+</sup> where each R is the same or different and may be as defined above,  
14 OAr where Ar is as defined above, SR where R is as defined above, and  
15 NH<sub>2</sub>, that may be utilized in accordance with the present invention.  
16 Preferable electronegative substituents include F, Cl, Br, I, CN, and NO<sub>2</sub>.  
17 Fluorine is particularly useful in accordance with the present invention,  
18 since it is highly electronegative, and does not significantly affect the  
19 torsion angle of the aromatic moiety.

20 Without being limited by theory, the inventors postulate that since  
21 the van der Waals radius of fluorine atoms is about 0.27Å larger than that  
22 of hydrogen atoms (B.E. Smart *Organofluorine Compounds: Principles*  
23 *and Commercial Applications*, R.E. Banks, ed., Chapter 3, Plenum Press:  
24 New York, 1994), the replacement of hydrogens for fluorines at the 5, 5', 6,  
25 6', 7, 7', 8, and 8' positions of BINOL may affect the torsion angle  
26 minimally in the resulting 5,5',6,6',7,7',8,8'-octafluoro-2,2'-dihydroxy-1,1'-  
27 binaphthyl ("F<sub>8</sub>BINOL", Formula II below). More importantly,  
28 considerable electronic perturbations take place due to the net effect of  
29 eight fluorine atoms. The electron-deficient nature of the aromatic rings  
30 in Formula II should result in a higher oxidative stability compared to  
31 Formula I and increased acidity of the hydroxyl groups which could

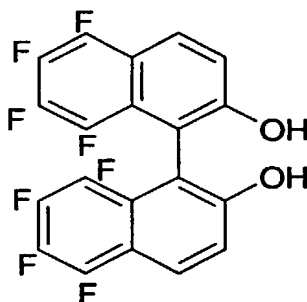


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1 potentially affect binding to metals and the corresponding substrates in  
2 the F<sub>8</sub>BINOL-mediated reactions. The increased acidity of the hydroxyl  
3 could also result in an increase in the lewis acidity of the bound metal  
4 compared to a non fluorinated binol analogue.

5

6 Formula II



7

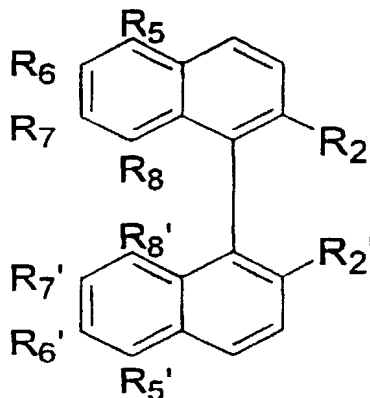
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10 Optionally, one or more of the electronegative radicals may be  
11 selectively substituted with a nucleophile. More preferably, one or more  
12 fluorine atoms on the aromatic ring system are selectively displaced with  
13 a nucleophile on a polyfluorinated catalyst such as the catalyst  
14 5,5',6,6',7,7',8,8'-octafluoro-2,2'-dihydroxy-1,1'-binaphthyl (F<sub>8</sub>BINOL).  
15 Ligands suitable for use as nucleophiles are well known to those skilled  
16 in the art and generally include radicals such as alcohols, amines, thiols  
17 and phenols. Some examples of suitable nucleophiles include NH<sub>2</sub><sup>-</sup>,  
18 PH<sub>3</sub>C<sup>-</sup>, PhNH<sup>-</sup>, ArS<sup>-</sup>, RO<sup>-</sup>, R<sub>2</sub>NH, ArO<sup>-</sup>, OH<sup>-</sup>, ArNH<sub>2</sub>, NH<sub>3</sub>, halogen, where,  
19 in each case, Ar is aromatic, and R may be the same or different and is C<sub>1</sub>-  
20 C<sub>20</sub> aromatic, aliphatic, linear or branched, saturated or unsaturated,  
21 unsubstituted or substituted with N, O, S, or P.

The present invention also relates to compounds of the Formula III:

Formula III



wherein R2 and R2' are the same or different and are OR where R may be hydrogen, C<sub>1</sub>-C<sub>20</sub> aromatic, aliphatic, linear or branched, saturated or unsaturated, unsubstituted or substituted with N, O, S, or P; PR'R'' where R' and R'' are the same or different and are hydrogen, or C<sub>1</sub>-C<sub>20</sub> aromatic, aliphatic, linear or branched, saturated or unsaturated, unsubstituted or substituted with N, O, S, or P; phosphine oxide; NR'''R'''' where R''' and R'''' are the same or different and are hydrogen, or C<sub>1</sub>-C<sub>20</sub> aromatic, aliphatic, linear or branched, saturated or unsaturated, unsubstituted or substituted with N, O, S, or P; SR''''R''''' where R'''' and R''''' are the same or different and are hydrogen, or C<sub>1</sub>-C<sub>20</sub> aromatic, aliphatic, linear or branched, saturated or unsaturated, unsubstituted or substituted with N, O, S, or P; and R5, R5', R6, R6', R7, R7', R8 and R8' are independently hydrogen, fluorine, CN, NO<sub>2</sub>, OR (where R is as defined above), SO<sub>2</sub>Ar where Ar is any aromatic ring system, SPh, Cl, Br, I, N<sub>3</sub>, NR<sub>3</sub><sup>+</sup> where each R is the same or different and may be as defined above, OAr where Ar is as defined above, SR where R is as defined above, NH<sub>2</sub>, a

1 each R is the same or different and may be as defined above, OAr where  
2 Ar is as defined above, SR where R is as defined above, NH<sub>2</sub>, a  
3 nucleophile X, wherein X may be OR<sub>9</sub>, NR<sub>10</sub>R<sub>11</sub>, SR<sub>12</sub>, SiR<sub>13</sub>R<sub>14</sub>R<sub>15</sub>,  
4 SeR<sub>16</sub> wherein each of R<sub>9</sub>, R<sub>10</sub>, R<sub>11</sub>, R<sub>12</sub>, R<sub>13</sub>, R<sub>14</sub>, R<sub>15</sub>, and R<sub>16</sub> may be  
5 the same or different and may be hydrogen, C<sub>1</sub>-C<sub>20</sub> aromatic, aliphatic,  
6 linear or branched, saturated or unsaturated, unsubstituted or substituted  
7 with N, O, S, or P; with the proviso that at least one of R<sub>5</sub> and R<sub>5'</sub>, R<sub>6</sub>  
8 and R<sub>6'</sub>, R<sub>7</sub> and R<sub>7'</sub>, and R<sub>8</sub> and R<sub>8'</sub> is electronegative.

9 In one preferred embodiment, R<sub>5</sub>, R<sub>6</sub>, R<sub>7</sub> and R<sub>8</sub> are the same and  
10 are H or F, and R<sub>5'</sub>, R<sub>6'</sub>, R<sub>7'</sub> and R<sub>8'</sub> are the same and are H or F, with the  
11 proviso that R<sub>5</sub>, R<sub>6</sub>, R<sub>7</sub> and R<sub>8</sub> are not the same as R<sub>5'</sub>, R<sub>6'</sub>, R<sub>7'</sub> and R<sub>8'</sub>.

12 In another embodiment, R<sub>5</sub>, R<sub>5'</sub>, R<sub>6</sub>, R<sub>6'</sub>, R<sub>7</sub>, R<sub>7'</sub>, R<sub>8</sub> and R<sub>8'</sub> are all  
13 the same and are F.

14 In a preferred embodiment, R<sub>5</sub>, R<sub>5'</sub>, R<sub>6</sub>, R<sub>6'</sub>, R<sub>8</sub> and R<sub>8'</sub> are  
15 fluorine atoms; R<sub>7</sub> and R<sub>7'</sub> are the same, and are a nucleophile X. In  
16 another preferred embodiment, R<sub>5</sub>, R<sub>5'</sub>, R<sub>8</sub> and R<sub>8'</sub> are fluorine atoms,  
17 R<sub>6</sub> and R<sub>6'</sub> are the same and are a nucleophile X, and R<sub>7</sub> and R<sub>7'</sub> are the  
18 same and are a nucleophile Y where Y has the same definition as X and  
19 where X and Y may be the same or different.

20 Preferably, the nucleophiles X and Y are an OR group, where R is as  
21 defined above, and the modified catalyst is prepared from the bis  
22 (methylether) or bis(benzyl ether) of F<sub>8</sub>BINOL (i.e. where R<sub>2</sub> and R<sub>2'</sub> are  
23 methoxy, or benzyloxy) according to the reaction scheme shown in Figure  
24 1.

25 More preferably, the nucleophiles X and Y are a methoxy or ethoxy  
26 group. It will be understood by those skilled in the art that different  
27 catalytic applications will have different preferred substituents.

28 While the foregoing describes nucleophilic substitution of  
29 F<sub>8</sub>BINOL at the 7 and 7' positions, it will be readily appreciated by those  
30 skilled in the art that the fluorine atoms at other positions may be  
31 additionally or alternately substituted. For example, Figure 7 shows the

1 selective displacement of fluorine atoms at positions 6 and 6' with the  
2 nucleophiles X and Y in a modified F<sub>8</sub>BINOL containing the ligand A, B  
3 or C (where A, B, and C may independently be as previously defined for  
4 X) groups at positions 7 and 7'. Figure 8 shows the stereochemistry of a  
5 modified F<sub>8</sub>BINOL containing nucleophiles at the 6, 6', 7 and 7' positions.  
6 In this manner, a matrix of different catalysts may be prepared. Such a  
7 matrix is useful in determining what combination of substitutions is  
8 most useful for any particular catalytic application.

9 Selective substitution of the fluorine groups at the 7 and 7'  
10 positions with the methoxy group takes place in 95% yield with  
11 remarkable selectivity. The configuration integrity of the  
12 polyfluorobinaphthyl core during the methoxylation process is shown in  
13 Figure 2.

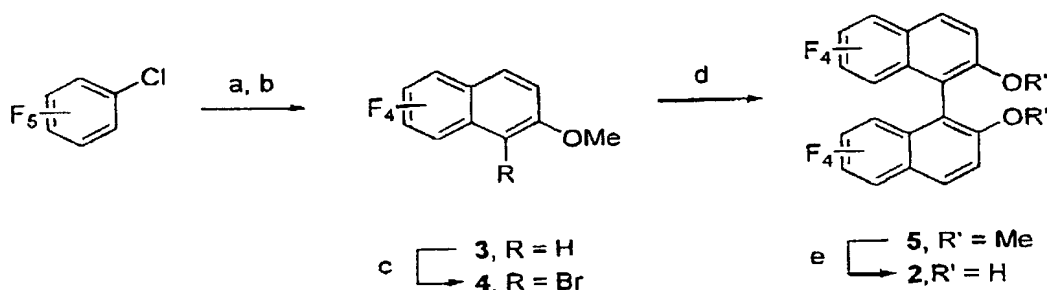
14 Figure 3 is a schematic diagram showing the chemistry of the  
15 modified catalyst at the 7 and 7' positions. The favourable conformation  
16 of the modified catalyst leads to many improved properties and utilities  
17 for the catalyst. For example, facile modification at the 7,7' positions  
18 suggests the possibility of placing the catalytic reaction center in that area.  
19 Direct connection of heteroatoms by nucleophilic substitution should  
20 lead to novel C<sub>2</sub> symmetrical ligands. Their monodentate nature will  
21 result from the steric constraints that should defeat chelation. In order to  
22 create different bidentate sites at the 7 and 7' positions, linkers of varied  
23 lengths may be attached to the 7 and 7' positions. Examples of linkers and  
24 their methods of attachment are well known in the art. Examples of  
25 linkers include -OCH<sub>2</sub>CH<sub>2</sub>NH<sub>2</sub>, -OCH<sub>2</sub>CH<sub>2</sub>OH, -OCH<sub>2</sub>NH<sub>2</sub>, -OCH<sub>2</sub>PH<sub>2</sub>, -  
26 CH<sub>2</sub>CH<sub>2</sub>SH, etc.

27 It will be appreciated by those skilled in the art that the compounds  
28 of the present invention may be in racemic or optically pure form. In a  
29 preferred embodiment, the compounds are in the optically pure S form.

30 The examples following particularize the preparation of  
31 compounds within the scope of the present invention. Generally

speaking, unsubstituted polyfluorinated compounds may be prepared according to Scheme 1. While reference is made to fluorinated aromatics, it will be appreciated that similar standard processes may be used for other compounds within the scope of the present invention.

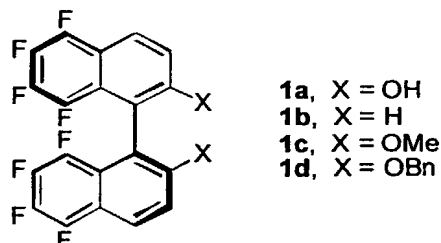
### Scheme 1<sup>a</sup>



<sup>a</sup>Key. (a) *n*-BuLi, ether, -78 °C; (b) 3-methoxythiophene, -78 °C to r.t.; (c) NBS, acetonitrile, r.t.; (d) Cu<sup>0</sup>, 175 °C; (e) BBr<sub>3</sub>, dichloromethane, r.t.

Nucleophilic displacement of aromatic fluorine is a well known reaction with a wide scope and utility [Welch, 2000 #14]. The presence of the fluorine atoms in the 2,2' dihydroxy BINOL derivative (compound 1a in Formula IV) suggests nucleophilic substitution as a potential route to ligand modification. Standard methoxylation with NaOMe of 5,5',6,6',7,7',8,8'-octafluoro-1,1'-binaphthyl (compound 1b in Formula IV) results in nucleophilic substitution of fluorine, but a complicated mixture of poly(methoxylated) products is obtained, indicating lack of regioselectivity. However, the presence of the methoxy substituents at the 2 and 2' positions in the bis(methyl) ether (compound 1c in Formula IV) is sufficient to secure high regioselectivity of the methoxylation reaction. Double substitution proceeds smoothly and results in the 7,7'-bis(methoxy) product in good chemical yield and with high regioselectivity.

## 1 Formula IV



2

3

4 Other alkoxy nucleophiles behave in a similar manner and may be  
 5 similarly substituted (See Scheme 2 below). However, subsequent  
 6 dealkylation with boron tribromide suffers from poor chemoselectivity.  
 7 Therefore, the use of the bis(benzyl) ether (compound 1d in Formula IV)  
 8 or another selective protective group which benefits from selective  
 9 deprotection via hydrogenation, is preferable in order to arrive at the  
 10 final bis-2,2'-hydroxy stage.

11 No racemization is observed when enantiomerically pure  
 12 bis(methoxy) derivative (compound 1c in Formula IV) is used in the  
 13 methoxylation reaction.

14

## 15 Scheme 2

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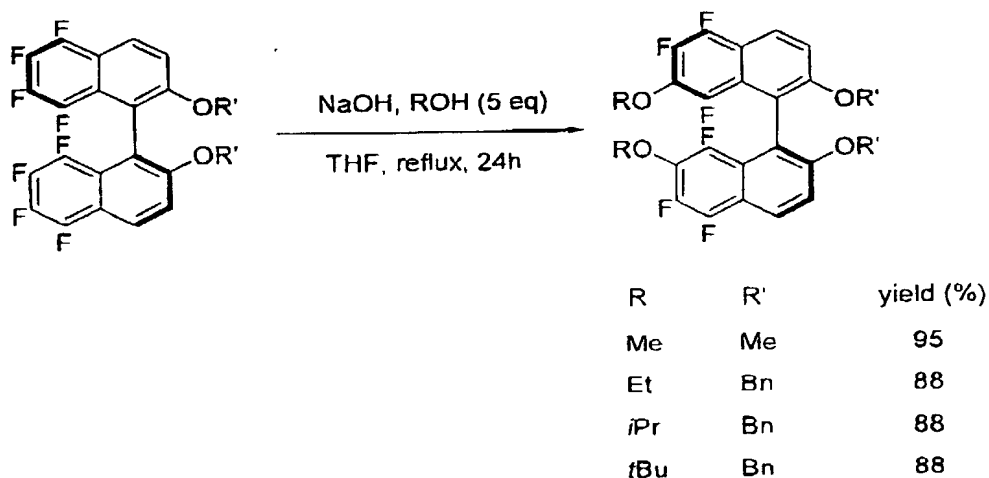
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1           It will, of course, be appreciated that the nucleophilical substitution  
2 process may be utilized with not only the binaphthyl derivatives above  
3 described, but with any of the aromatic ring systems previously described.  
4 For example, the selective substitution may be used on polyfluorinated  
5 benzene or polyfluorinated naphthalene systems, or indeed any aromatic  
6 ring system having at least one electronegative radical.

7           Those skilled in the art will understand that the compounds of the  
8 present invention have many useful applications. Such applications  
9 include asymmetric catalysis with main group elements, transition metal  
10 and lanthanide metals; asymmetric reagent with main group elements,  
11 transition metal and lanthanide metals; polymer supported catalysis;  
12 incorporation of molecules into crown ethers for development of phase  
13 transfer catalysts; use of compounds as a monomer for polymerization;  
14 asymmetric polymer supported electrochemical oxidation catalysis; as a  
15 chiral auxiliary in an asymmetric reaction; as a resolving agent for chiral  
16 compounds, including but not limited to amines; asymmetric catalysis  
17 (reagent) in fluorous phase reactions; as a chiral stationary phase for  
18 HPLC and other chromatographic techniques; phase transfer catalyst  
19 between organic, fluorous phase and alkali solutions.

20           One specific application is to develop combinatorial approaches to  
21 catalyst development. It is possible to determine which substitution  
22 pattern on the F<sub>8</sub>BINOL moiety gives optimal catalyst with regard to rate  
23 and selectivity in a particular reaction. To address this issue, the dihedral  
24 angle and electron distribution in F<sub>8</sub>BINOL may be varied by replacing  
25 fluorine atoms at the 7,7' positions with a variety of nucleophiles to  
26 develop analogs of F<sub>8</sub>BINOL.

27           It is also possible to generate libraries of such analogs using  
28 solution and solid-phase parallel synthesis. The structure/activity  
29 relationships may be deciphered based on screening the resulting catalyst  
30 libraries in a variety of reactions including hetero Diels-Alder,  
31 aziridination, direct aldol, and imine hydrogenation processes.

1           A library of compounds may also be generated for any other  
2 suitable purpose. For example, it is possible to build a library of  
3 compounds for pharmaceutical testing. With the highly selective  
4 substitution, it is possible to start with a base compound and develop a  
5 number of related but different compounds by selectively substituting  
6 different nucleophiles at the same or different locations on the base  
7 compound. Pharmacological activity screening may then be done on the  
8 library of compounds to determine which compounds have the highest  
9 activity.

10           The highly selective nucleophilic functionalization of the  $F_8$ BINOL  
11 core will allow the attachment of the modified catalysts to an electrode  
12 surface or a solid support. Figure 4 shows the attachment of the modified  
13 catalyst to an electrode surface and Figure 5 shows experimentally  
14 observed cyclic voltammogram for the modified electrode surface.

15           Figure 6 shows the attachment of the modified catalyst to a solid  
16 support. In particular, Figure 6 exemplifies an approach toward libraries  
17 of TentaGel S OH resin-linked catalysts. An alternative to this strategy is  
18 to introduce functionality X directly onto the ligand-derivatized resin. On  
19 bead screening for the catalytic activity will allow the fine-tuning of the  
20 ligand's torsion angle using solid-phase chemistry by manipulating the  
21 7,7' substituents. It should be emphasized that established routes to  
22 modified BINOL involve rather harsh electrophilic functionalization  
23 which puts substituents into the 6,6' positions and necessitates a  
24 subsequent resolution step which is not feasible under combinatorial  
25 protocols commonly performed on a microgram scale. On the contrary,  
26 high configurational stability of  $F_8$ BINOL under basic conditions will  
27 enable the use the homochiral starting material without the loss of  
28 enantiomeric purity during the nucleophilic substitution. As well,  
29 substituents at the 7,7' positions could have direct steric influence over  
30 the dihedral angle which should modulate the catalytic activity, a feature  
31 not available for the 6,6' substitution pattern.



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Figure 9 shows internal nucleophilic displacement in monoprotected F<sub>8</sub>BINOL which illustrates that the axial chirality of F<sub>8</sub>BINOL provides convenient access to ligands with helical chirality.

Utility of the poly(alkoxylated) ligands in asymmetric catalysis was illustrated using diethylzinc addition to aldehydes. We observed high levels of enantioselectivity in titanium-catalyzed addition of diethylzinc to aldehydes using x and x under the conditions where the formation of the monomeric catalysts of 1:1 composition is favored.

All publications, patents and patent applications are herein incorporated by reference in their entirety to the same extent as if each individual publication, patent or patent application was specifically and individually indicated to be incorporated by reference in its entirety.

The following examples, which are non-limiting, are illustrative of the present invention. The scope of the invention is limited only by the claims.

## EXAMPLES

### I. FLUORINE SUBSTITUTION OF BINOL

#### (a) 5,5',6,6',7,7',8,8'-octafluoro-2,2'-dihydroxy-1,1'-binaphthyl

Racemic form of the compound 5,5',6,6',7,7',8,8'-octafluoro-2,2'-dihydroxy-1,1'-binaphthyl (compound 2 in Scheme 1) was prepared according to Scheme 1 above. Tetrafluorobenzene, formed by treating commercially available chloropentafluorobenzene with *n*-butyllithium at -78°C, was reacted with 3-methoxythiophene, obtained from 3-bromothiophene using a literature procedure (methoxythiophene preparation). Upon the *in situ* extrusion of sulfur, 2-methoxy-5,6,7,8-tetrafluoronaphthalene (Formula III) was obtained in 52% yield. 5,6,7,8-Tetrafluoro-2-naphthol, prepared from 2-methoxy-5,6,7,8-tetrafluoronaphthalene by demethylation with BBr<sub>3</sub>, did not undergo the FeCl<sub>3</sub>-catalyzed oxidative coupling, commonly used for the preparation of BINOL from 2-naphthol (BINOL prep via FeCl<sub>3</sub> coupling). Instead,

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1 substitution of hydrogen for chlorine at the 1 position of the aromatic  
2 ring took place. Higher oxidation potential of 5,6,7,8-tetrafluoro-2-  
3 naphthol (2.07V *vs* Ag/AgCl compared to 1.47V *vs* Ag/AgCl for BINOL)  
4 is a likely reason for the lack of reactivity in the oxidative coupling.

5 Therefore, the reductive route through intermediacy of the 1-  
6 brominated derivative (compound 4 in Scheme 1), prepared in 52% yield  
7 from compound 3 in Scheme 1 by treatment with *N*-bromosuccinimide  
8 in acetonitrile, was utilized. The Ullmann homocoupling of the 1-bromo  
9 derivative, facilitated by the presence of aromatic fluorines, gave the  
10 desired bis(methoxy) product (compound 5 in Scheme 1) in 85% yield.  
11 Demethylation of the bis(methoxy) derivative with BBr<sub>3</sub> furnished  
12 F<sub>8</sub>BINOL (compound 2 in Scheme 1) in 88% yield. Finally,  
13 recrystallization from methanol/water gave pure F<sub>8</sub>BINOL as white  
14 needles. After several unsuccessful attempts at resolving F<sub>8</sub>BINOL, the  
15 diastereomeric bis(menthyl)carbonates were chromatographically  
16 separated by reacting racemic F<sub>8</sub>BINOL with excess (-)-  
17 menthylchloroformate. Treatment of each diastereomer with dilute  
18 NaOH followed by extraction with diethyl ether afforded (-)-F<sub>8</sub>BINOL and  
19 (+)-F<sub>8</sub>BINOL, respectively. The enantiomeric excess, determined using  
20 chiral HPLC (Chiralpak AD column), was found to be >99.9% in each case.

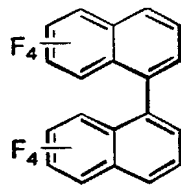
#### 21 22 **(b) 5,6,7,8-tetrafluoro-1-naphthol**

23 Replacement of aromatic hydrogens for fluorines is known to  
24 substantially increase barriers to axial torsion in substituted biphenyls. For  
25 example, fluorination of the 4 and 5 positions of 9,10-  
26 dihydrophenanthrene raises the torsion barrier from 4.1 to 10.3 kcal/mol  
27 (M. Schlosser, D. Michel *Tetrahedron* 1996, 52, 99 and references cited  
28 therein). In order to estimate the effect of polyfluorination on  
29 atropisomerism in the octafluoro-1,1'-binaphthyl species racemic 5,6,7,8-  
30 octafluoro-1,1'-binaphthyl (compound 6 below) was prepared and its X-ray  
31 structure determined. Racemic 5,6,7,8-octafluoro-1,1'-binaphthyl was

1 prepared from 5,6,7,8-tetrafluoro-1-naphthol (G. W. Gribble, C. G.  
2 LeHoullier, M. P. Sibi, R. W. Allen *J. Org. Chem.* 1985, 50, 1611) by Ni(0)-  
3 catalyzed homocoupling of its trifluoromethanesulfonate ester in NMP at  
4 100 °C. The torsion angles in the molecular structures of BINOL and  
5 F<sub>8</sub>BINOL were not compared due to the possibility of intramolecular OH-  
6 F hydrogen bonding in the crystal lattice that could have complicated  
7 direct comparison of geometric parameters. Remarkably, the torsion angle  
8 between the two tetrafluorinated naphthyl planes in 5,6,7,8-octafluoro-  
9 1,1'-binaphthyl is only 0.7° larger than in the parent hydrido derivative  
10 (70.2° for octafluoro-1,1'-binaphthyl *vs* 69.5° for 1,1'-binaphthyl (R.  
11 Kuroda, S. F. Martin *J. Chem. Soc. Perkin Trans II* 1981, 167)).

12 To further understand atropisomerism in F<sub>8</sub>BINOL acid-promoted  
13 racemization of its (-) enantiomer was investigated. This process is  
14 known to operate for BINOL. Remarkably, F<sub>8</sub>BINOL remains optically  
15 active (99.9% e.e) after 24 hours in boiling THF/HCl mixture, whereas  
16 BINOL rapidly racemizes under these conditions!

17



6

18

19

20

21 Polyfluorination of aromatic nuclei is also known to decrease pKa's  
22 of bound heteroatoms (B. E. Smart, in: *Organofluorine compounds:*  
23 *Principles and Commercial Applications* (R. E. Banks, ed.), Chapter 3,  
24 Plenum Press: New York, 1994). For example, incorporation of four  
25 fluorine atoms into the aromatic skeleton of tyrosine results in the pKa'  
26 decrease of the ring-bound hydroxyl group by 5 units (K. Kim, P. A. Cole *J.*

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1 *Am. Chem. Soc.* 1998, 120, 6851). It was determined that the pKa' of the  
2 hydroxyl group in F<sub>8</sub>BINOL decreases by 1 unit upon octafluorination  
3 (BINOL: pKa' 10.28; F<sub>8</sub>BINOL: pKa' 9.29). Another important consequence  
4 of fluorination is anodic shift in the oxidation potential of F<sub>8</sub>BINOL,  
5 which was found to be more positive than that of binaphthyl by 0.6 V, a  
6 useful property for applications in oxidation catalysis.

7 These results lead to the conclusion that the effect of fluorine on the  
8 reactivity of F<sub>8</sub>BINOL is primarily electronic in nature. The desired  
9 conformational flexibility, one of the most important characteristics of  
10 BINOL allowing it to coordinate a wide variety of metals, should be  
11 preserved. Remarkable configurational stability of either enantiomer of  
12 F<sub>8</sub>BINOL is perhaps its most valuable property.

13

## 14 II. NUCLEOPHILIC SUBSTITUTION

15

16 General: Anhydrous THF was obtained by distillation over sodium  
17 benzophenone ketyl under nitrogen. 2,2'-dimethoxy-5,5',6,6',7,7',8,8'-  
18 octafluoro-1,1'-binaphthyl and 2,2'-dihydroxy-5,5',6,6',7,7',8,8'-octafluoro-  
19 1,1'-binaphthyl were prepared according to literature procedures. Column  
20 chromatography was carried out using 230-400 mesh silica gel.

21

### 22 (a) 2,2',7,7'-tetramethoxy-5,5',6,6',8,8'-hexafluoro-1,1'-binaphthyl(1)

23 To a solution of 2,2'-dimethoxy-5,5',6,6',7,7',8,8'-octafluoro-1,1'-  
24 binaphthyl (91.7mg, 0.2mmol) in anhydrous THF (10mL) was added 81μl  
25 (2.0mmol) methanol and 112mg (2.0mmol) KOH. The mixture was  
26 stirred and refluxed for 12hrs. The reaction mixture was diluted with  
27 ether and washed with aqueous HCl (5%). The result organic extract was  
28 dried over MgSO<sub>4</sub> and concentrated. Purification of the residue by  
29 chromatography over silica afforded pure (1) (91.0mg, 84%) as white solid.

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<sup>1</sup>HNMR(400 MHz, CDCl<sub>3</sub>): δ 8.10(d, J=9.2Hz, 2H), 7.42(d, J=9.2Hz, 2H), 3.91(S, 6H), 3.75(S, 6H). <sup>19</sup>FNMR(400MHz, CDCl<sub>3</sub>): δ -140.93(d, J=16.8Hz), -152.65(dd, J=16.8Hz, 3.2Hz), -158.80(d, J=19.6Hz). <sup>13</sup>CNMR(100MHz, CDCl<sub>3</sub>): δ 155.6(s), 147.2(dt, J=249.2Hz, 3.8Hz), 142.4(ddd, J=249.0Hz, 6.1Hz, 4.6Hz), 139.9(ddd, J=250.0Hz, 9.2Hz, 4.5Hz), 135.9(m), 121.6(m), 120.9(m), 117.2(s), 116.0(dd, J=9.9Hz, 4.5Hz), 114.3(s), 62.5(s), 56.9(s). HREI-MS, m/z: Calcd for C<sub>24</sub>H<sub>16</sub>F<sub>6</sub>O<sub>4</sub> 482.0953; found, 482.0958.

**(b) 2,2'-dimethoxy-7,7'-diethoxy-5,5',6,6',8,8'-hexafluoro-1,1'-binaphthyl(2)**

In accordance to the general procedure described above, but 116μl (2.0mmol) ethanol was used instead of methanol. A total of 78.1mg (77%) of 2 was obtained as white solid.

<sup>1</sup>HNMR(400MHz, CDCl<sub>3</sub>): δ 8.09(d, J=9.2Hz, 2H), 7.38(d, J=9.6Hz, 2H), 4.11(q, J=6.8Hz, 4H), 3.73(S, 6H), 1.29(t, J= 6.8Hz, 6H). <sup>19</sup>FNMR(400MHz, CDCl<sub>3</sub>): δ -139.91(d, J=16.8Hz), -152.68(dd, J=16.8Hz, 2.8Hz), -158.08(d, J=19.6Hz). <sup>13</sup>CNMR(100MHz, CDCl<sub>3</sub>): δ 155.6(s), 147.6(dt, J=249.3Hz, 3.8Hz), 142.3(ddd, J=247.0Hz, 6.0Hz, 4.6Hz), 140.2(ddd, J=246.0Hz, 9.2Hz, 4.5Hz), 134.8(m), 121.5(m), 120.9(m), 117.2(s), 116.1(dd, J=9.8Hz, 3.8Hz), 114.2(s), 71.0(s), 56.9(s), 15.5(s). HREI-MS, m/z: Calcd for C<sub>26</sub>H<sub>20</sub>F<sub>6</sub>O<sub>4</sub>, 510.1255; found, 510.1266.

**(c) 2,2'-dimethoxy-7,7'-di-iso-propoxy-5,5',6,6',8,8'-hexafluoro-1,1'-binaphthyl(3)**

In accordance to the general procedure described above, but 154μl (2.0mmol) *iso*-propanol was used instead of methanol. A total of 87.9mg (89%) of 3 was obtained as white foam.

<sup>1</sup>HNMR(400MHz, CDCl<sub>3</sub>): δ 8.08(d, J=9.2Hz, 2H), 7.38(d, J=9.2Hz, 2H), 4.36(sep, J=6.0Hz, 2H), 3.71(s, 6H), 1.23(dd, J=6.0Hz, 3.2Hz, 12H).

1  $^{19}\text{F}$ NMR(400MHz,  $\text{CDCl}_3$ ):  $\delta$  -157.19(d,  $J=19.6\text{Hz}$ ), -152.81(dd,  $J=16.8\text{Hz}$ ,  
2 2.8Hz), -138.60(d,  $J=16.8\text{Hz}$ ).  $^{13}\text{C}$ NMR(100MHz,  $\text{CDCl}_3$ ):  $\delta$  155.6(s), 148.2(dt,  
3  $J=250.0\text{Hz}$ , 3.8Hz), 142.3(ddd,  $J=247.0\text{Hz}$ , 6.0Hz, 4.6Hz), 140.6(ddd,  
4  $J=245.0\text{Hz}$ , 9.2Hz, 3.8Hz), 133.8(m), 121.5(m), 120.9(m), 117.3(s), 116.2(dd,  
5  $J=10.6\text{Hz}$ , 3.8Hz), 114.2(s), 77.7(s), 56.8(s), 22.4(s). HREI-MS  $m/z$ : Calcd for  
6  $\text{C}_{28}\text{H}_{24}\text{F}_6\text{O}_4$  538.1583; found, 538.1579.

7

8 (d) 2,2'-dimethoxy-7,7'-dibenzoyloxy-5,5',6,6',8,8'-hexafluoro-1,1'-  
9 binaphthyl(4)

10 In accordance to the general procedure described above, but 207 $\mu\text{l}$   
11 (2.0mmol) benzyl alcohol was used instead of methanol. A total of  
12 98.6mg(78%) of 4 was obtained as white foam.  $^1\text{H}$ NMR(400MHz,  $\text{CDCl}_3$ ):  
13  $\delta$  8.07(d,  $J=9.2\text{Hz}$ , 2H), 7.37-7.22(m, 12H), 5.06(s, 4H), 3.68(s, 6H).  
14  $^{19}\text{F}$ NMR(400MHz,  $\text{CDCl}_3$ ):  $\delta$  -138.78(d,  $J=16.8\text{Hz}$ ), -152.49(dd,  $J=16.8\text{Hz}$ ,  
15 2.8Hz), -157.48(d,  $J=20.8\text{Hz}$ ).  $^{13}\text{C}$ NMR(100MHz,  $\text{CDCl}_3$ ):  $\delta$  155.6(s), 147.6(dt,  
16  $J=250.0\text{Hz}$ , 3.8Hz), 142.3(ddd,  $J=247.0\text{Hz}$ , 6.8Hz, 4.6Hz), 140.1(ddd,  
17  $J=246.0\text{Hz}$ , 9.1Hz, 3.8Hz), 136.3(s), 134.4(m), 128.7(d,  $J=3.1\text{Hz}$ ), 128.6(d,  
18  $J=4.6\text{Hz}$ ), 128.5(s), 121.6(m), 120.9(m), 117.2(s), 116.2(dd,  $J=9.8\text{Hz}$ , 4.6Hz),  
19 114.3(s), 76.5(s), 56.9(s). HREI-MS,  $m/z$ : Calcd for  $\text{C}_{36}\text{H}_{24}\text{F}_6\text{O}_4$ , 634.1560;  
20 found, 634.1579.

21

22 (e) 2,2'-dibenzoyloxy-5,5',6,6',7,7',8,8'-octafluoro-1,1'-binaphthyl(5)

23 To a solution of 2,2'-dihydroxy-5,5',6,6',7,7',8,8'-octafluoro-1,1'-  
24 binaphthyl (215.2mg, 0.5mmol) and potassium carbonate (691mg, 5mmol)  
25 in THF(15mL) was added benzyl bromide (0.6mL, 5mmol). The mixture  
26 was stirred and refluxed for 20hrs. The reaction mixture was diluted with  
27 ether and washed with aqueous HCl (5%). The solvent and excess benzyl  
28 bromide were removed under reduced pressure. Recrystallization from a  
29 Hexanes and dichloromethane mixture gave white solid (224.2mg, 80%).

- 23 -

1 <sup>1</sup>HNMR(400MHz, CDCl<sub>3</sub>): δ8.16(d, J=9.6Hz, 2H), 7.50(d, J=9.6Hz, 2H), 7.23-  
2 7.16(m, 6H), 6.98-6.96(m,4H), 5.12(s, 4H). <sup>19</sup>FNMR(300MHz, CDCl<sub>3</sub>): δ-  
3 146.72(t, J=17.7Hz), -150.55(dd, J=16.2Hz, 5.1Hz), -158.68(t, J=20.1Hz), -  
4 163.22(t, J=20.1Hz).

5  
6 **(e) 2,2'-dibenzyloxy-7,7'-dimethoxy-5,5',6,6',8,8'-hexafluoro-1,1'-**  
7 **binaphthyl(6)**

8 To a solution of 2,2'-dibenzyloxy-5,5',6,6',7,7',8,8'-octafluoro-1,1'-  
9 binaphthyl(5) (224.2mg, 0.4mmol) and potassium hydroxide (224mg,  
10 4.0mmol) in THF(20mL) was added methanol (162μl, 4.0mmol). The  
11 mixture was stirred and refluxed for 12hrs. The reaction mixture was  
12 diluted with ether and washed with aqueous HCl (5%). The result organic  
13 extract was dried over MgSO<sub>4</sub> and concentrated. Purification of the  
14 residue by chromatography over silica afforded pure (6) as white foam  
15 (197.9mg, 78%). <sup>1</sup>HNMR(400MHz, CDCl<sub>3</sub>): δ7.93(d, J=9.2Hz, 2H), 7.24(d,  
16 J=9.6Hz, 2H), 7.01-6.96(m, 6H), 6.76(d, J=7.2Hz, 4H), 4.90(s, 4H), 3.74(s, 6H).  
17 <sup>19</sup>FNMR(300MHz, CDCl<sub>3</sub>): δ-140.18(d, J=17.3Hz), -152.35(dd, J=16.7Hz,  
18 3.1Hz), -158.30(d, J=21.5Hz).

19  
20 **(f)2,2'-dihydroxy-7,7'-dimethoxy-5,5',6,6',8,8'-hexafluoro-1,1'-**  
21 **binaphthyl(7)**

22 To a solution of 2,2'-dibenzyloxy-7,7'-dimethoxy-5,5',6,6',8,8'-  
23 hexafluoro-1,1'-binaphthyl(6) (126.5mg, 0.2mmol) was added  
24 Pd/C(85.2mg, 10%) under a hydrogen atmosphere at room temperature.  
25 After being stirred at the same temperature for 10hrs, the reaction  
26 mixture was filtered and concentrated. Purification of the residue by  
27 chromatography over silica afforded pure (7) (quantitatively) as white  
28 foam. <sup>1</sup>HNMR(400MHz, CDCl<sub>3</sub>): δ8.06(d, J=8.8Hz, 2H), 7.30(d, J=9.2Hz, 2H),  
29 5.39(s, 2H), 3.92(s, 6H). <sup>19</sup>FNMR(400MHz, CDCl<sub>3</sub>): δ -142.14(d, J=15.2Hz), -

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1 151.24(dd, J=16.8Hz, 2.8Hz), -157.16(d, J=19.6Hz). <sup>13</sup>CNMR(100MHz, CDCl<sub>3</sub>):  
 2 δ 153.2(s), 146.6(dt, J=248.5Hz, 3.8Hz), 142.7(ddd, J=248.0Hz, 6.0Hz, 4.6Hz),  
 3 140.3(ddd, J=248.0Hz, 8.3Hz, 4.6Hz), 136.7(m), 123.5(m), 120.5(m), 118.5(s),  
 4 115.9(dd, J=10.6Hz, 3.8Hz), 108.6(s), 62.5(m). HREI-MS: m/z: calcd for  
 5 C<sub>22</sub>H<sub>12</sub>F<sub>6</sub>O<sub>4</sub> 454.0642; found, 454.0640.  
 6  
 7  
 8



## 1 WHAT IS CLAIMED IS:

2

3 1. An asymmetric ligand comprising an aromatic ring system substituted  
4 with at least one electronegative radical.

5

6 2. The ligand as claimed in claim 1 wherein the aromatic ring system  
7 comprises benzene, pyridine, naphthalene, anthracene or a derivative  
8 thereof.

9

10 3. The ligand as claimed in claim 1 wherein the aromatic ring system is  
11 axially chiral.

12

13 4. The ligand as claimed in claim 3 wherein the aromatic ring system  
14 comprises a biphenyl, binaphthyl, bipyridine ring system or a  
15 derivative thereof.

16

17 5. The ligand as claimed in claim 4 wherein the aromatic ring system  
18 comprises a binaphthyl derivative.

19

20 6. The ligand as claimed in claim 5 wherein the aromatic ring system  
21 comprises a 2, 2' di substituted binaphthyl ring system.

22

23 7. The ligand as claimed in claim 6 wherein the aromatic ring system is a  
24 2, 2' di substituted binaphthyl ring system, and wherein the  
25 substituents at the 2 and 2' positions are the same or different, and are  
26 each OR where R may be hydrogen, C<sub>1</sub>-C<sub>20</sub> aromatic, aliphatic, linear  
27 or branched, saturated or unsaturated, unsubstituted or substituted  
28 with N, O, S, or P, PR'R'' where R' and R'' are the same or different  
29 and are hydrogen, or C<sub>1</sub>-C<sub>20</sub> that may be aromatic, aliphatic, linear or  
30 branched, saturated or unsaturated, unsubstituted or substituted with  
31 N, O, S, or P, phosphine oxide, NR'''R'''' where R''' and R'''' are the

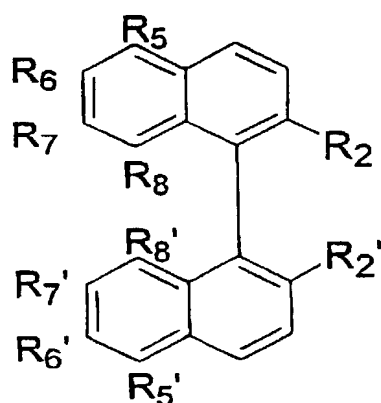
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- 1 same or different and are hydrogen, or C<sub>1</sub>-C<sub>20</sub> that may be aromatic,  
2 aliphatic, linear or branched, saturated or unsaturated, unsubstituted  
3 or substituted with N, O, S, or P, SR''''R''''' where R'''' and R''''' are  
4 the same or different and are hydrogen, or C<sub>1</sub>-C<sub>20</sub> that may be  
5 aromatic, aliphatic, linear or branched, saturated or unsaturated,  
6 unsubstituted or substituted with N, O, S, or P.  
7
- 8 8. The ligand as claimed in claim 7 wherein R is hydrogen, or C<sub>1</sub>-C<sub>6</sub> alkyl  
9 which is linear or branched.  
10
- 11 9. The ligand as claimed in any one of claims 1 to 8 wherein the  
12 electronegative radical is fluorine, Cl, Br, I, CN, or NO<sub>2</sub>.  
13
- 14 10. The ligand as claimed in any one of claims 1 to 8 wherein the  
15 electronegative radical is fluorine.  
16
- 17 11. The ligand as claimed in any one of claims 1 to 8 wherein the aromatic  
18 ring system is polyfluorinated.  
19
- 20 12. The ligand as claimed in claim 6 or 7 wherein the 5, 6, 7, and 8  
21 positions of the binaphthyl ring system are fluorinated and the 5', 6',  
22 7', and 8' positions of the binaphthyl ring system are not substituted  
23 with an electronegative radical.  
24
- 25 13. The ligand as claimed in claim 6 or 7 wherein the 5, 6, 7, and 8  
26 positions of the binaphthyl ring system are not substituted with an  
27 electronegative radical, and the 5', 6', 7', and 8' positions of the  
28 binaphthyl ring system are fluorinated.  
29

1 14. The ligand as claimed in claim 5, 6, 7 or 8 wherein the electronegative  
2 radical is fluorine, and the binaphthyl ring system is fluorinated at the  
3 5, 5', 6, 6', 7, 7', 8 and 8' positions.

4  
5 15. The ligand as claimed in claim 8 which is selected from the group of  
6 ligands comprising 5, 5', 6, 6', 7, 7', 8, 8'-octafluoro-2,2'-dihydroxy-1,1'-  
7 binaphthyl, 5, 5', 6, 6', 7, 7', 8, 8'-octafluoro-2,2'-dimethoxy-1,1'-  
8 binaphthyl, 5, 5', 6, 6', 7, 7', 8, 8'-octafluoro-2,2'-di-n-propoxy-1,1'-  
9 binaphthyl and 5, 5', 6, 6', 7, 7', 8, 8'-octafluoro-2,2'-di-i-propoxy-1,1'-  
10 binaphthyl.

11  
12 16. A compound of the formula III:



22 wherein R2 and R2' are the same or different and are OR where R may be  
23 hydrogen, C<sub>1</sub>-C<sub>20</sub> alkyl aromatic, aliphatic, linear or branched, saturated or  
24 unsaturated, unsubstituted or substituted with N, O, S, or P; PR'R'' where  
25 R' and R'' are the same or different and are hydrogen, or C<sub>1</sub>-C<sub>20</sub> that may  
26 be aromatic, aliphatic, linear or branched, saturated or unsaturated,  
27 unsubstituted or substituted with N, O, S, or P; phosphine oxide; NR'''R''''  
28 where R''' and R'''' are the same or different and are hydrogen, or C<sub>1</sub>-C<sub>20</sub>  
29 that may be aromatic, aliphatic, linear or branched, saturated or  
30 unsaturated, unsubstituted or substituted with N, O, S, or P; SR''''R'''''

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1 where R'''' and R'''' are the same or different and are hydrogen, or C<sub>1</sub>-C<sub>20</sub>  
2 that may be aromatic, aliphatic, linear or branched, saturated or  
3 unsaturated, unsubstituted or substituted with N, O, S, or P; and

4  
5 R<sub>5</sub>, R<sub>5'</sub>, R<sub>6</sub>, R<sub>6'</sub>, R<sub>7</sub>, R<sub>7'</sub>, R<sub>8</sub> and R<sub>8'</sub> are independently hydrogen, fluorine,  
6 CN, or NO<sub>2</sub>, OR (where R is as defined above), SO<sub>2</sub>Ar where Ar is any  
7 aromatic ring system, SPh, Cl, Br, I, N<sub>3</sub>, NR<sub>3</sub><sup>+</sup> where each R is the same  
8 or different and may be as defined above, OAr where Ar is as defined  
9 above, SR where R is as defined above, NH<sub>2</sub>, a nucleophile X, wherein X  
10 may be OR<sub>9</sub>, NR<sub>10</sub>R<sub>11</sub>, SR<sub>12</sub>, SiR<sub>13</sub>R<sub>14</sub>R<sub>15</sub>, SeR<sub>16</sub> and wherein each of  
11 R<sub>9</sub>, R<sub>10</sub>, R<sub>11</sub>, R<sub>12</sub>, R<sub>13</sub>, R<sub>14</sub>, R<sub>15</sub> and R<sub>16</sub> is the same or different and may  
12 be hydrogen, C<sub>1</sub>-C<sub>20</sub> that may be aromatic, aliphatic, linear or branched,  
13 saturated or unsaturated, unsubstituted or substituted with N, O, S, or P,  
14 with the proviso that at least one of R<sub>5</sub>, R<sub>5'</sub>, R<sub>6</sub>, R<sub>6'</sub>, R<sub>7</sub>, R<sub>7'</sub>, R<sub>8</sub> and R<sub>8'</sub> is  
15 electronegative.

16

17 17. The compound as claimed in claim 16 wherein R<sub>5</sub>, R<sub>6</sub>, R<sub>7</sub> and R<sub>8</sub> are  
18 the same and are H or F, and R<sub>5'</sub>, R<sub>6'</sub>, R<sub>7'</sub> and R<sub>8'</sub> are the same and are  
19 different than R<sub>5</sub>, R<sub>6</sub>, R<sub>7</sub> and R<sub>8</sub>.

20

21 18. The compound as claimed in claim 16 wherein R<sub>2</sub> and R<sub>2'</sub> are the  
22 same or different and are hydrogen or C<sub>1</sub>-C<sub>6</sub> aliphatic, linear or  
23 branched, and R<sub>5</sub>, R<sub>5'</sub>, R<sub>6</sub>, R<sub>6'</sub>, R<sub>7</sub>, R<sub>7'</sub>, R<sub>8</sub> and R<sub>8'</sub> are each fluorine.

24

25 19. The compound as claimed in claim 16 wherein R<sub>2</sub> and R<sub>2'</sub> are the  
26 same or different and are hydrogen or C<sub>1</sub>-C<sub>6</sub> aliphatic, linear or  
27 branched, and R<sub>5</sub>, R<sub>5'</sub>, R<sub>6</sub>, R<sub>6'</sub>, R<sub>8</sub> and R<sub>8'</sub> are each fluorine, and R<sub>7</sub>  
28 and R<sub>7'</sub> are the same or different and are a nucleophile X as claimed in  
29 claim 16.

30

- 29 -

- 1 20. The compound as claimed in claim 16 wherein R2 and R2' are the  
2 same or different and are hydrogen or C<sub>1</sub>-C<sub>6</sub> aliphatic, linear or  
3 branched, and R5, R5', R8 and R8' are each fluorine, and R6, R6', R7,  
4 R7' are the same or different and are a nucleophile X as claimed in  
5 claim 13.  
6
- 7 21. The compound as claimed in claim 19 or 20 wherein the nucleophile  
8 X is hydroxy or C<sub>1</sub>-C<sub>6</sub> alkoxy.  
9
- 10 22. A modified polyfluorinated binaphthyl based ligand wherein the  
11 fluorine atoms in at least one of positions 5 and 5', 6 and 6', 7 and 7',  
12 and 8 and 8' is selectively displaced with a nucleophile.  
13
- 14 23. The modified polyfluorinated binaphthyl based ligand as claimed in  
15 claim 22 wherein the fluorine atoms at positions 7 and 7' are  
16 selectively displaced with a nucleophile.  
17
- 18 24. The modified polyfluorinated binaphthyl based ligand as claimed in  
19 claim 23 wherein the fluorine atoms at positions 6, 6', 7 and 7' are  
20 selectively displaced with a nucleophile.  
21
- 22 25. A ligand as claimed in any one of claims 1 to 24 wherein the ligand is  
23 linked to a solid support.  
24
- 25 26. A ligand as claimed in any one of claims 1 to 24 wherein the ligand is  
26 linked to an electrode surface.  
27
- 28 27. The use a ligand as claimed in any one of claims 1 to 26 for an  
29 application selected from the group consisting of asymmetric catalysis  
30 with main group elements, transition metal and lanthanide metals,  
31 asymmetric reagent with main group elements, transition metal and

- 30 -

- 1       lanthanide metals, polymer supported catalysis, nucleophilic  
2       displacement of fluorine atoms to modify characteristics of molecule,  
3       incorporation of molecule into crown ethers for development of  
4       phase transfer catalysts, use of compound as a monomer for  
5       polymerization, asymmetric polymer supported electrochemical  
6       oxidation catalysis, as a chiral auxiliary in an asymmetric reaction, as a  
7       resolving agent for chiral compounds, including but not limited to  
8       amines, asymmetric catalysis (reagent) in fluorous phase reactions, as a  
9       chiral stationary phase for HPLC and other chromatographic  
10      techniques, and phase transfer catalyst between organic, fluorous  
11      phase and alkali solutions.  
12
- 13   28. An asymmetric ligand comprising an aromatic ring system and at least  
14      one electronegative substituent, that is modified by selectively  
15      nucleophilically substituting at least one electronegative substituent  
16      with a nucleophile.  
17
- 18   29. A ligand as claimed in claim 28 wherein the aromatic ring system  
19      comprises a biphenyl, binaphthyl, bipyridine ring system or a  
20      derivative thereof.  
21
- 22   30. A ligand as claimed in claim 28 wherein the aromatic ring system is  
23      axially chiral.  
24
- 25   31. A ligand as claimed in claim 30 wherein the electrophilic substituent  
26      comprises fluorine.  
27
- 28   32. A ligand as claimed in claim 31 wherein the aromatic ring system  
29      comprises a biphenyl, binaphthyl or bipyridine ring system or a  
30      derivative thereof.  
31

- 31 -

- 1 33. A ligand as claimed in claim 32 wherein the aromatic ring system  
2 comprises binaphthyl ring system or a derivative thereof.  
3
- 4 34. A ligand as claimed in any one of claims 28 to 33 comprising a  
5 nucleophile X, wherein X has the meaning defined in claim 16.  
6
- 7 35. A ligand as claimed in any one of claims 28 to 33 comprising a  
8 nucleophile wherein the nucleophile is hydroxy or C<sub>1</sub>-C<sub>6</sub> alkoxy.  
9
- 10 36. A ligand as claimed in claim 33 wherein a nucleophile is selectively  
11 substituted in the 7 and 7' positions.  
12
- 13 37. A ligand as claimed in claim 33 wherein a nucleophile is selectively  
14 substituted in the 7, 7', 6 and 6' positions.  
15
- 16 38. A ligand as claimed in claim 37 wherein the nucleophile substituted  
17 in the 7 and 7' positions is the same as the nucleophile substituted in  
18 the 6 and 6' positions.  
19
- 20 39. A ligand as claimed in claim 37 wherein the nucleophile substituted  
21 in the 7 and 7' positions is different from the nucleophile substituted  
22 in the 6 and 6' positions.  
23
- 24 40. A ligand as claimed in claim 27 wherein the binaphthyl ring system is  
25 a 2, 2' di-substituted binaphthyl ring system, and wherein the  
26 substituents at the 2 and 2' positions are the same or different and are  
27 each OR where R is as defined in claim 7.  
28
- 29 41. A ligand as claimed in claim 32 comprising a nucleophile X wherein X  
30 is as defined in claim 16.  
31

- 1    42. A ligand as claimed in claim 40 comprising a nucleophile wherein the  
2        nucleophile is hydroxy or C<sub>1</sub>-C<sub>6</sub> branched or straight chain alkoxy.  
3
- 4    43. A ligand as claimed in claim 40 wherein a nucleophile is selectively  
5        substituted in the 7 and 7' positions on the binaphthyl ring system.  
6
- 7    44. A ligand as claimed in claim 40 wherein a nucleophile is selectively  
8        substituted in the 6 and 6' positions on the binaphthyl ring system.  
9
- 10   45. A ligand as claimed in claim 44 wherein the same nucleophile is  
11        selectively substituted in the 6, 6', 7 and 7' positions.  
12
- 13   46. A ligand as claimed in claim 44 wherein different nucleophiles are  
14        selectively substituted in the 7 and 7' positions and in the 6 and 6'  
15        positions.  
16
- 17   47. A method of generating a library of a predetermined number of  
18        asymmetric ligands comprising:  
19        a) Providing an aromatic ring system having at least one  
20            electronegative substituent;  
21        b) Selective substituting at least one electronegative substituent with  
22            a nucleophile; and  
23        c) Repeating steps a) and b) a predetermined number of times to  
24            obtain a predetermined number of ligands.  
25
- 26   48. The method as claimed in claim 47 wherein the same aromatic ring  
27        system is provided in each step a) and a different nucleophile is  
28        selectively substituted for at least one electronegative substituent in  
29        each step b).  
30



- 33 -

- 1 49. The method as claimed in claim 47 wherein the aromatic ring system  
2 provided in step a) is selected from benzene, pyridine, naphthalene,  
3 anthracene and their derivatives.  
4
- 5 50. The method as claimed in claim 48 wherein the aromatic ring system  
6 is axially chiral.  
7
- 8 51. The method as claimed in claim 50 wherein the aromatic ring system  
9 is selected from biphenyl, binaphthyl, bipyridine and derivatives  
10 thereof.  
11
- 12 52. The method as claimed in claim 51 wherein the aromatic ring system  
13 is a binaphthyl derivative.  
14
- 15 53. The method as claimed in 47 wherein the electronegative substituent  
16 is selected from the group of electronegative substituent consisting of  
17 fluorine, Cl, Br, I, CN and NO<sub>2</sub>.  
18
- 19 54. The method as claimed in claim 51 or 52 wherein the electronegative  
20 substituent is fluorine.  
21
- 22 55. The method as claimed in any one of claims 47 to 54 wherein the  
23 nucleophiles selectively substituted in steps b) are selected from the  
24 group of nucleophiles X, wherein X is as defined in claim 16.  
25
- 26 56. The method as claimed in any one of claims 47 to 54 wherein the  
27 nucleophiles selectively substituted in steps b) are selected from  
28 hydroxy, and C<sub>1</sub>-C<sub>6</sub> alkoxy.  
29

1 57. The method as claimed in claim 48 wherein in each step b) the  
2 nucleophile is selectively substituted in the same position on the  
3 aromatic ring system.  
4

5 58. The method as claimed in claim 48 wherein in each step b) the  
6 nucleophile is optionally selectively substituted in different positions.  
7

8 59. The use of a library of ligands made by a method as claimed in any one  
9 of claims 47 to 58 to screen the pharmacological activity of each ligand  
10 within the library.

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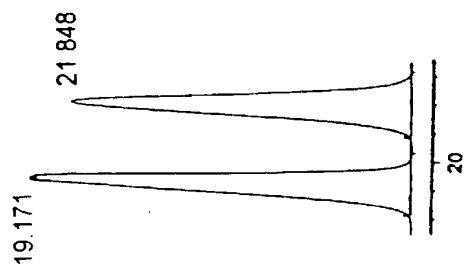
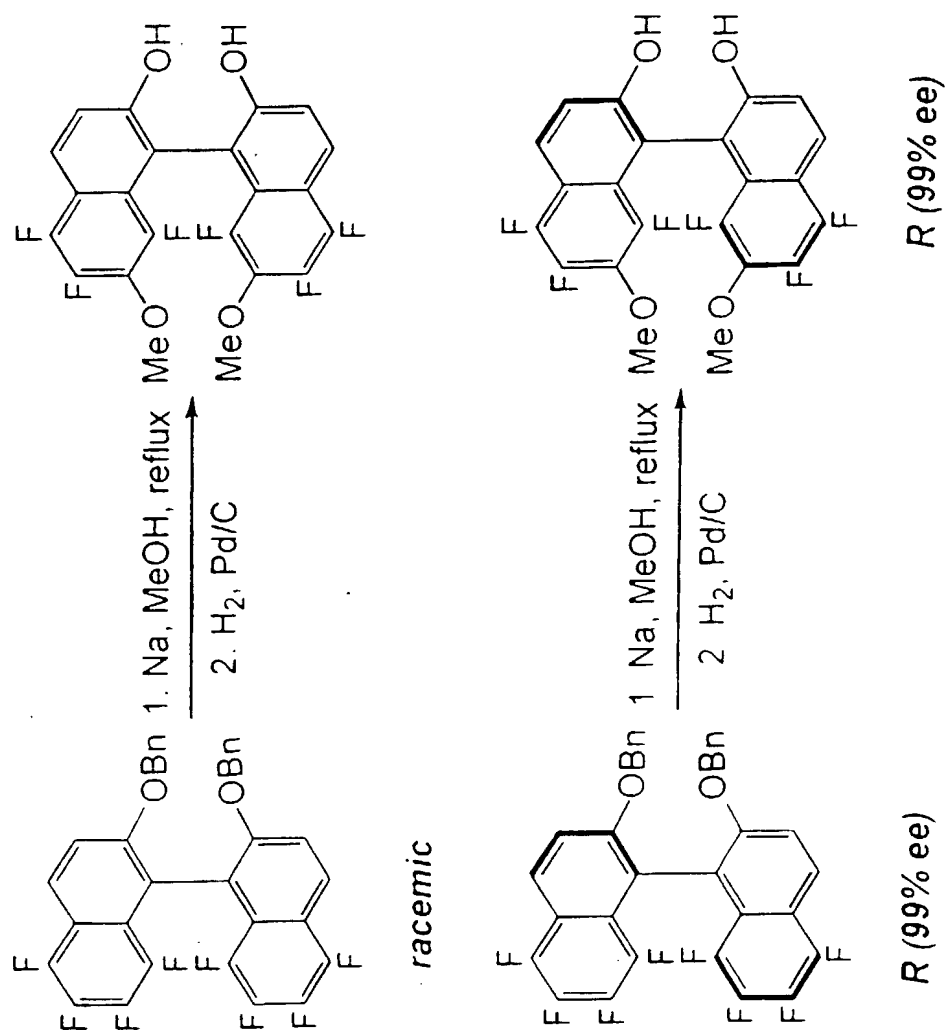
(57) Abstract: Disclosed are electronically perturbed asymmetric aromatic ligands. In one aspect, the ligands are polyfluorinated. The ligands may be nucleophilically substituted. The ligands have many useful applications including catalytic applications. In a preferred aspect, the ligands are polyfluorinated binaphthyl ring derivatives, which are 2,2' dihydroxy or dialkoxy substituted.

WO 01/07386 A2

Molecular structure of the 7,7'-bis(methoxy) adduct

2/10

Figure 2



Chiralcel OD



Chiralcel OD

3/10

Figure 3

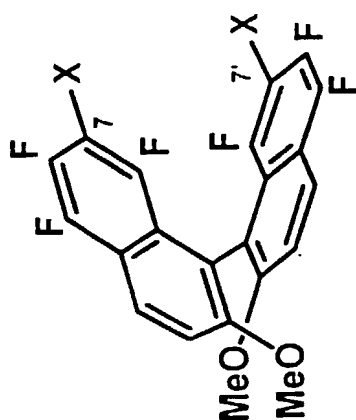
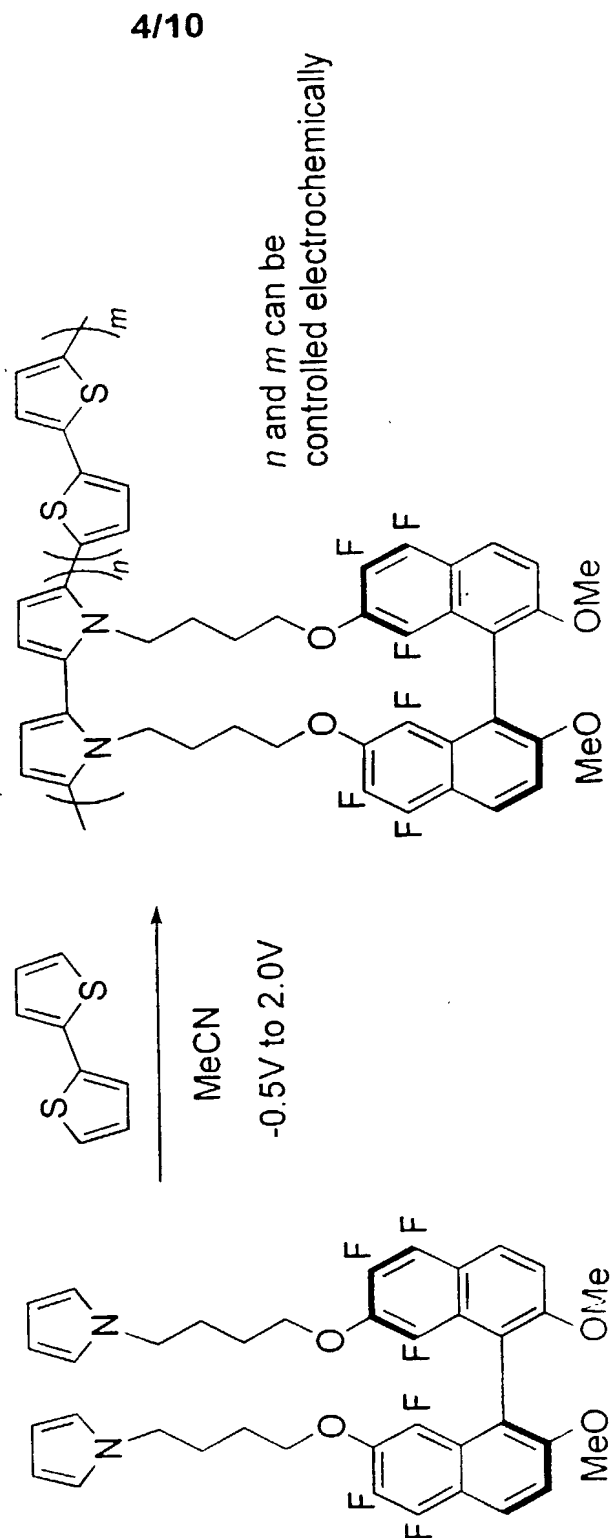


Figure 4



5/10

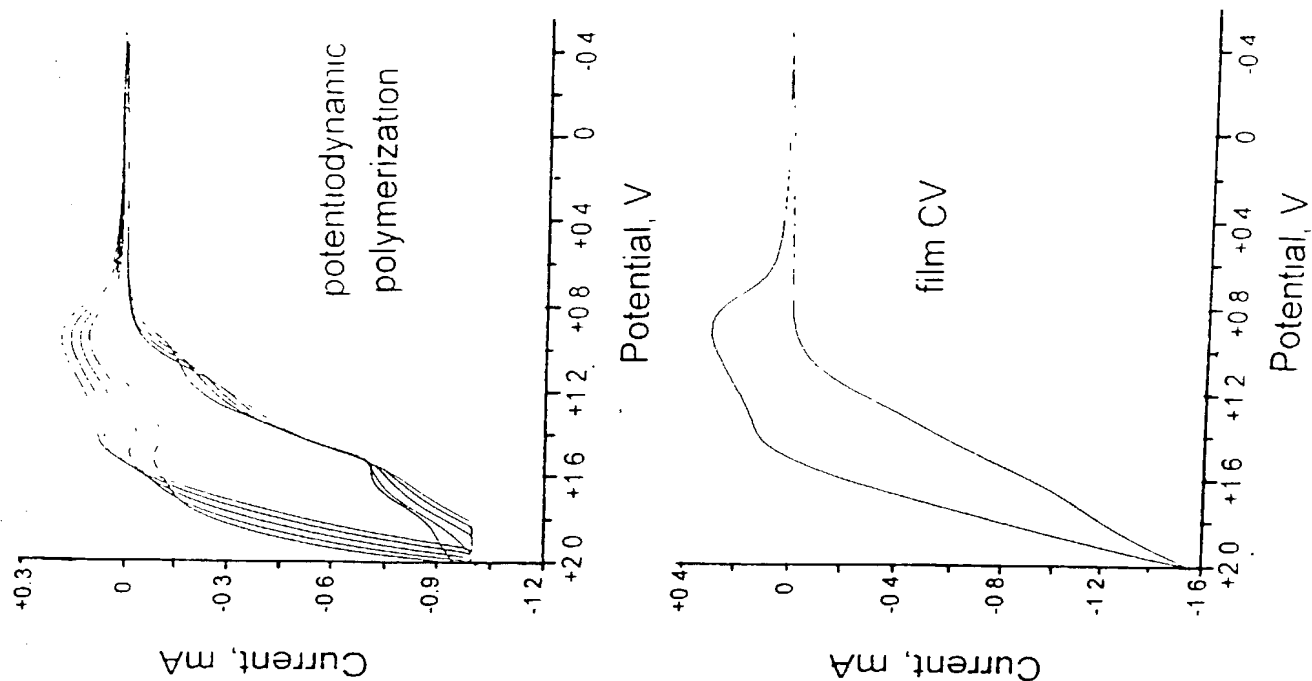
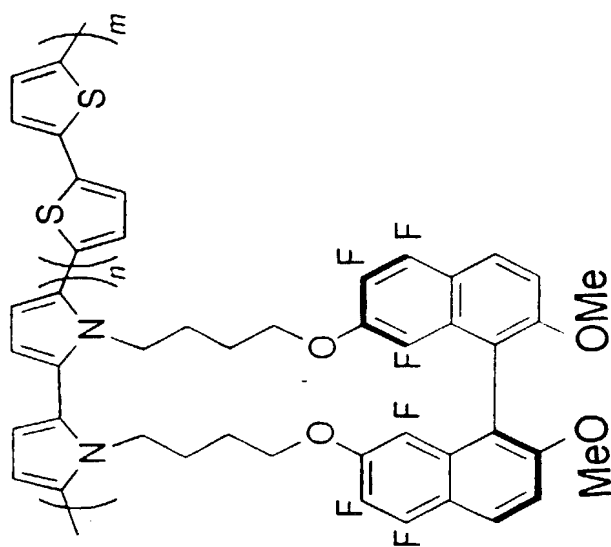


Figure 5

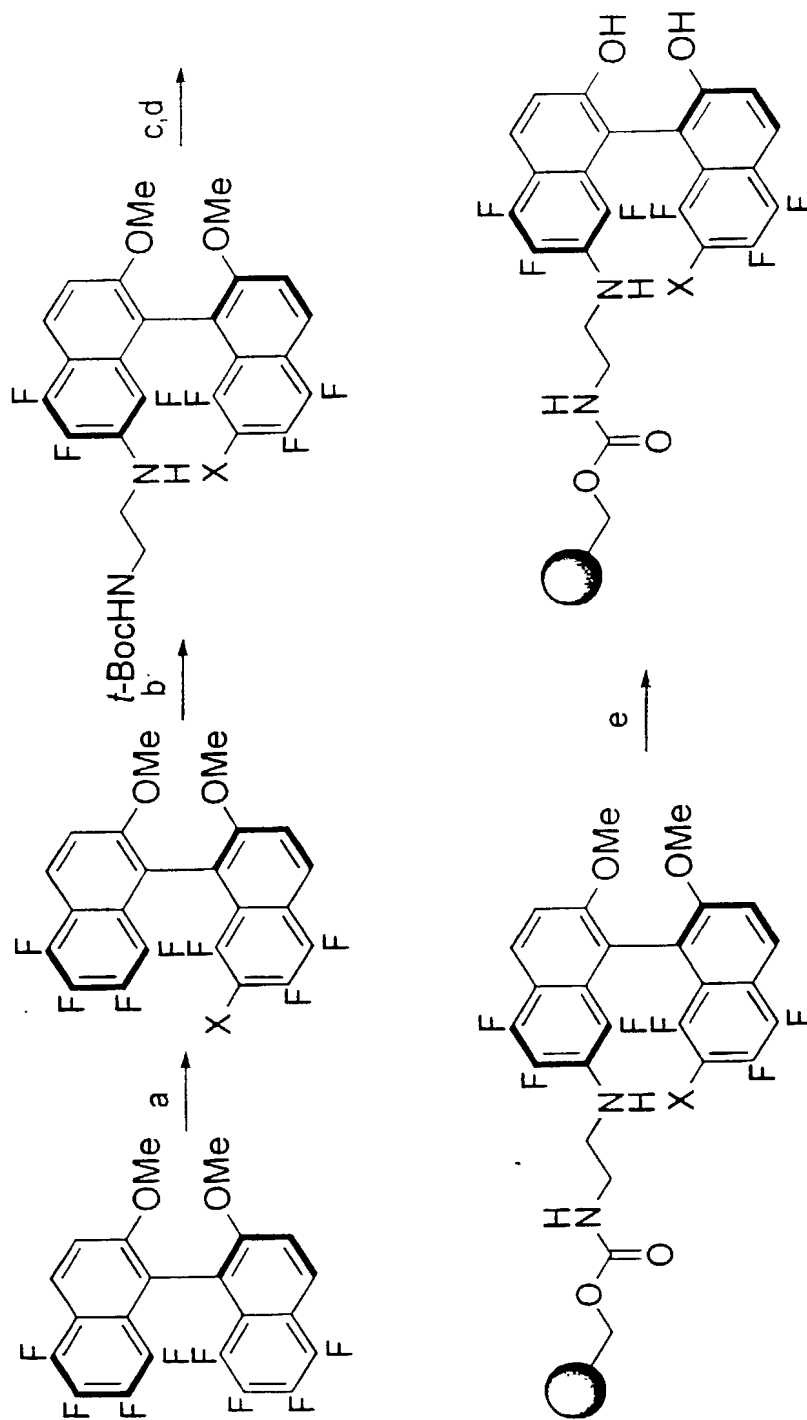


pyrrole/bithiophene  
feed ratio: 2:1



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Figure 6



Key: a. XH (1 eq), toluene, 100 °C; b. NH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>NH(t-Boc), toluene, 100 °C; c. TFA, DCM; d. CDI, THF, TentaGel S OH; e. Pd-C, HCOONH<sub>4</sub>, MeOH, reflux

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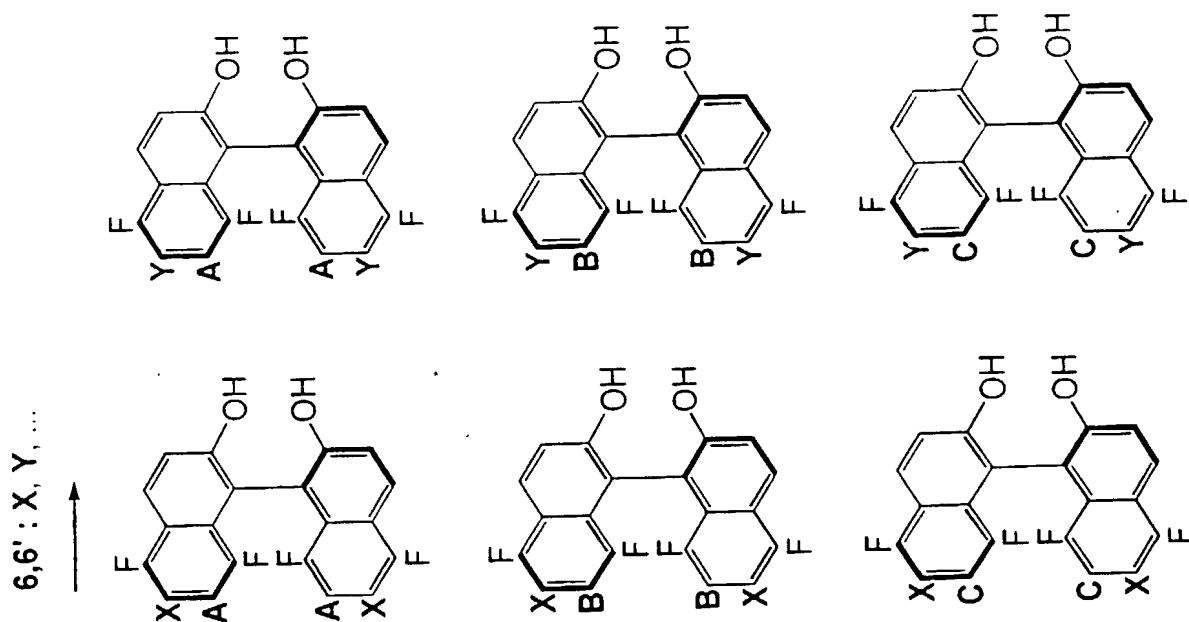
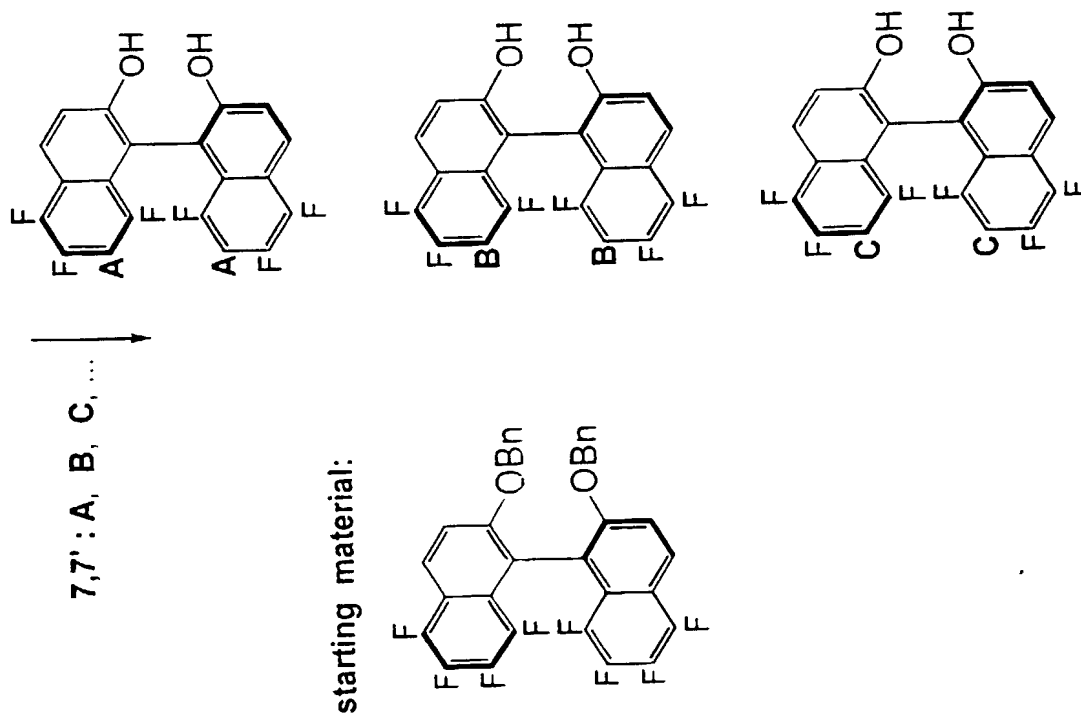
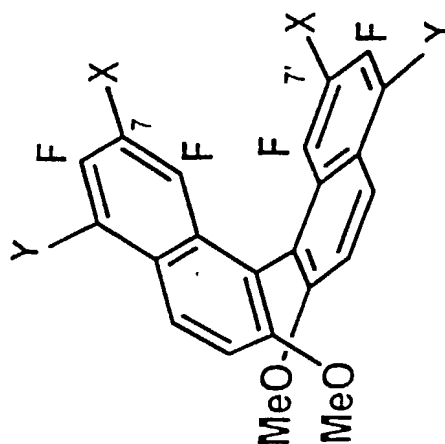


Figure 7



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Figure 8



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Figure 9

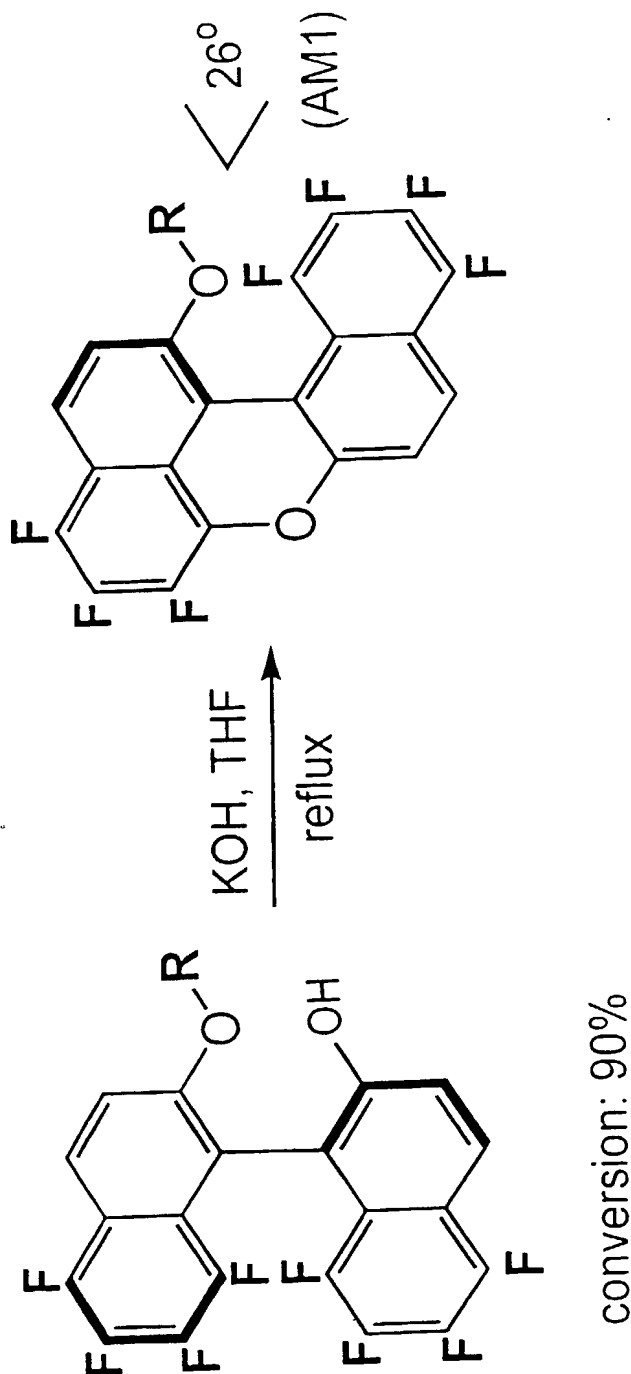
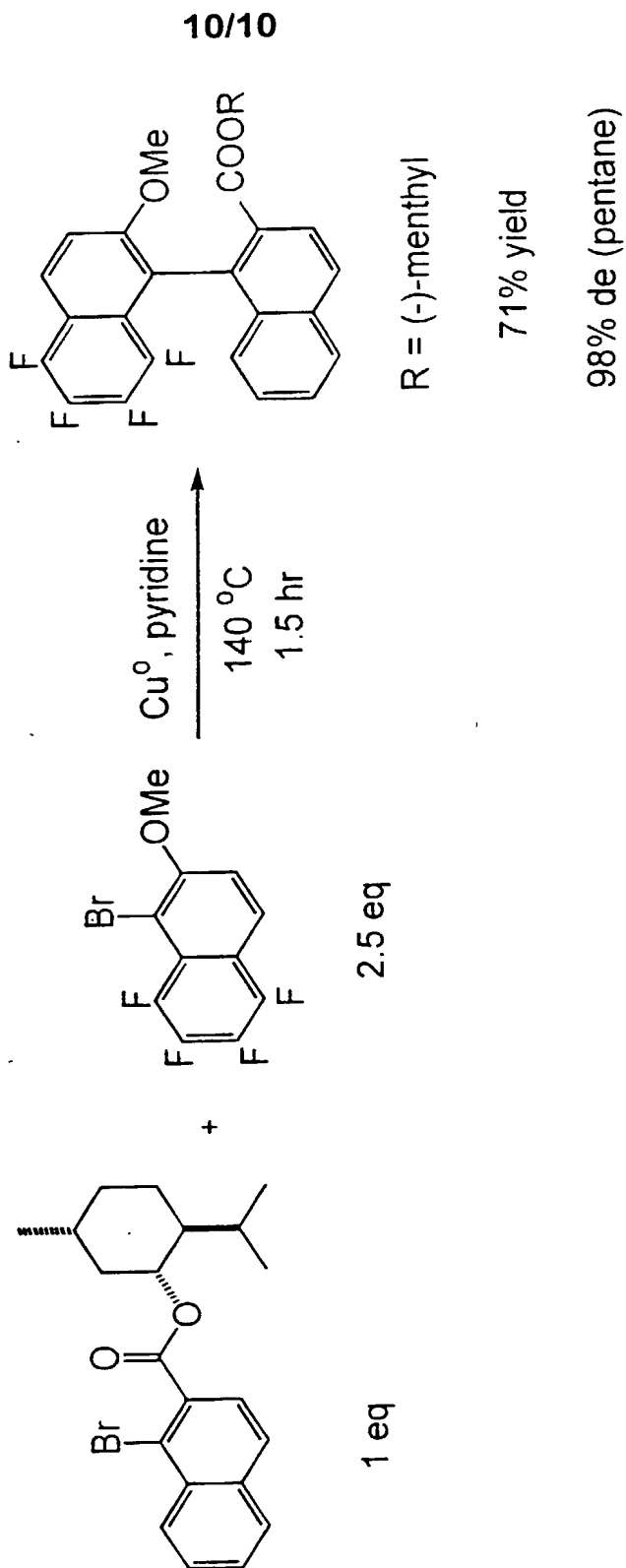


Figure 10



# 4.

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>	<b>Attorney Docket Number</b>	61905/00002
	<b>First Named Inventor</b>	YUDIN, A.
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	10/031,449
	<b>Filing Date</b>	
	<b>Art Unit</b>	
<input type="checkbox"/> Declaration Submitted with Initial Filing	<b>OR</b>	<input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e) required))
<b>Examiner Name</b>		

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ASYMMETRIC LIGANDS HAVING USE AS CATALYSTS

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 07/21/2000 as United States Application Number or PCT International

Application Number PCT/CA00/00850 and was amended on (MM/DD/YYYY) 01/22/2002 (If applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.


I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), of 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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3 DECS.

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<b>Address</b> Box 25, Commerce Court West					
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<b>Country</b>	Canada	<b>Telephone</b>	416.863.3256	<b>Fax</b>	416.863.2653
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<b>NAME OF SOLE OR FIRST INVENTOR:</b>			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
<b>Given Name (first and middle [if any])</b> Andrei			<b>Family Name or Surname</b> Yudin		
<b>Inventor's Signature</b> 			<b>Date</b> Sep. 6 '02		
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<b>Country</b>	Canada				
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto					

Over to 2nd floor

# DECLARATION

ADDITIONAL INVENTOR(S)  
Supplemental Sheet  
Page 1 of 1

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
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Inventor's Signature		Date	
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Zip	H4L 5E3	Country	Canada
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City		State	
Country		Citizenship	
Mailing Address			
Mailing Address			
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Zip		Country	
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Country		Citizenship	
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Mailing Address			
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Zip		Country	



<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>  <input type="checkbox"/> Declaration Submitted with Initial Filing <b>OR</b> <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e) required)	<b>Attorney Docket Number</b>	<b>61905/00002</b>
	<b>First Named Inventor</b>	<b>YUDIN, A.</b>
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	<b>10/031,449</b>
	<b>Filing Date</b>	
	<b>Art Unit</b>	
	<b>Examiner Name</b>	

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My residence, mailing address, and citizenship are as stated below next to my name.

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**City** Toronto **State** Ontario **ZIP** M5L 1A9  
**Country** Canada **Telephone** 416.863.3256 **Fax** 416.863.2653

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**NAME OF SOLE OR FIRST INVENTOR:**

☐ A petition has been filed for this unsigned inventor

**Given Name (first and middle [if any])** Andrei **Family Name or Surname** Yudin

**Inventor's Signature** **Date**

**Residence: City** Toronto **State** Ontario **Country** Canada **Citizenship** Canada

**Mailing Address** 56 Hammersmith Avenue

**Mailing Address**

**City** Toronto **State** Ontario **Zip** M4E 2W4 **Country** Canada

**NAME OF SECOND INVENTOR:**

☐ A petition has been filed for this unsigned inventor

**Given Name (first and middle [if any])** Leo James Patrick **Family Name or Surname** Martyn

**Inventor's Signature** **Date**

**Residence: City** Mississauga, ON **State** Ontario **Country** Canada **Citizenship** Canada

**Mailing Address** 165 - 3349 Mississauga Road

**Mailing Address**

**City** Mississauga **State** Ontario **ZIP** L5L 1J7 **Country** Canada

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> <b>Supplemental Sheet</b> Page <u>1</u> of <u>1</u>
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<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Subramanian		Pandiaraju	
Inventor's Signature		Date	
Residence: City	St. Laurent	State	Quebec
Country	Canada	Citizenship	Canada
Mailing Address 403 - 600 Cote Vertu			
Mailing Address			
City	St. Laurent	State	Quebec
Zip	H4L 5E3	Country	Canada
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City		State	
Country		Citizenship	
Mailing Address			
Mailing Address			
City		State	
Zip		Country	
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City		State	
Country		Citizenship	
Mailing Address			
Mailing Address			
City		State	
Zip		Country	

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐ Declaration Submitted with Initial Filing  
**OR**  
☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e) required))

**Attorney Docket Number** 61905/00002

**First Named Inventor** YUDIN, A.

**COMPLETE IF KNOWN**

**Application Number** 10/031,449

**Filing Date**

**Art Unit**

**Examiner Name**

**As the below named inventor, I hereby declare that:**

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ASYMMETRIC LIGANDS HAVING USE AS CATALYSTS

(Title of the Invention)

the specification of which

☐ is attached hereto

**OR**

☒ was filed on (MM/DD/YYYY) 07/21/2000 as United States Application Number or PCT International

Application Number PCT/CA00/00850 and was amended on (MM/DD/YYYY) 01/22/2002 (If applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), of 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on supplemental priority data sheet PTO/SB/02B attached hereto:

## DECLARATION — Utility or Design Patent

Direct all correspondence to:		<input checked="" type="checkbox"/> Customer Number or Bar Code Label	27871	OR	<input checked="" type="checkbox"/> Correspondence address below
<b>Name</b> BLAKE, CASSELS & GRAYDON LLP per Brian W. Gray (Reg. No. 30,017)					
<b>Address</b> Box 25, Commerce Court West					
<b>Address</b> 199 Bay Street					
<b>City</b>	Toronto	<b>State</b>	Ontario	<b>ZIP</b>	M5L 1A9
<b>Country</b>	Canada	<b>Telephone</b>	416.863.3256	<b>Fax</b>	416.863.2653
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>					
<b>NAME OF SOLE OR FIRST INVENTOR:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<b>Given Name (first and middle [if any])</b> Andrei				<b>Family Name or Surname</b> Yudin	
<b>Inventor's Signature</b>				<b>Date</b>	
<b>Residence: City</b>	Toronto	<b>State</b>	Ontario	<b>Country</b>	Canada
<b>Mailing Address</b> 56 Hammersmith Avenue					
<b>Mailing Address</b>					
<b>City</b>	Toronto	<b>State</b>	Ontario	<b>Zip</b>	M4E 2W4
<b>NAME OF SECOND INVENTOR:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<b>Given Name (first and middle [if any])</b> Leo James Patrick				<b>Family Name or Surname</b> Martyn	
<b>Inventor's Signature</b>				<b>Date</b>	
<b>Residence: City</b>	Mississauga	<b>State</b>	Ontario	<b>Country</b>	Canada
<b>Mailing Address</b> 165 - 3349 Mississauga Road					
<b>Mailing Address</b>					
<b>City</b>	Mississauga	<b>State</b>	Ontario	<b>ZIP</b>	L5L 1J7
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto					

**DECLARATION**

**ADDITIONAL INVENTOR(S)**  
**Supplemental Sheet**  
Page 1 of 1

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
<u>Subramanian</u>		<u>Pandiaraju</u>	
Inventor's Signature <u>X</u> <u>[Signature]</u>		Date <u>X</u> <u>Aug 28, 02</u>	
Residence: City	<u>St. Laurent</u> <u>CAN</u>	State	<u>Quebec</u>
Country	<u>Canada</u>	Citizenship	<u>Canada</u>
Mailing Address <u>403 - 600 Cote Vertu</u>			
Mailing Address			
City	<u>St. Laurent</u>	State	<u>Quebec</u>
Zip	<u>H4L 5E3</u>	Country	<u>Canada</u>
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City		State	
Country		Citizenship	
Mailing Address			
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